

Chapter 8: Deadlocks

CS 3423 Operating Systems
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National Tsing Hua University

Overview

- System Model
- Deadlock Characterization
- Methods for Handling Deadlocks
- Deadlock Prevention
- Deadlock Avoidance
- Deadlock Detection
- Recovery from Deadlock

The Deadlock Problem

- A set of blocked processes each holding some resources and waiting to acquire a resource held by another process in the set
- Ex1: 2 processes and 2 tape drivers
 - Each process holds a tape drive
 - Each process requests another tape drive
- Ex2: 2 processes, and semaphores A & B
 - P1 (hold B, wait A): wait(A), signal(B)
 - P2 (hold A, wait B): wait(B) , signal(A)

Deadlock with Mutex Locks

- Deadlocks can occur via
 - system call, locking, ...
- textbook example
 - process1:
 - lock(mutex1)
 - lock(mutex2)
 - work()
 - unlock(mutex2)
 - unlock(mutex1)
 - process2:
 - lock(mutex2)
 - lock(mutex1)
 - work()
 - unlock(mutex1)
 - unlock(mutex2)

Deadlock vs Livelock

- Deadlock:
 - all processes with circular dependency are blocked, cannot make progress
- Livelock:
 - not blocked, but busy with repeatedly failing
 - example: nonblocking version of mutex acquire, maybe bad luck or bad phasing of events
 - example: Ethernet collision
 - solution: randomize backoff

Four Necessary Conditions for Deadlock

1. Mutual exclusion:

- only one process at a time can use a resource

2. Hold and wait:

- a process holding at least one resource is waiting to acquire additional resources held by other processes

3. No preemption of resource: (≠ process/thread preemption!!)

- a resource can be released only voluntarily by the process holding it, after that process has completed its task

4. Circular wait:

- there exists a set $\{P_0, P_1, \dots, P_n\}$ of waiting processes such that P_i is waiting for a resource that is held by P_{i+1} , for $0 \leq i < n$, and P_n is waiting for a resource that is held by P_0 .

All four conditions hold simultaneously $\Rightarrow$ deadlock

System Model

- Resource types $R_1, R_2, \dots, R_m$
 - CPU cycles, memory space, I/O devices
- Each resource type R_i has W_i instances
 - e.g., a processor with two cores
- Sequence of steps in utilizing a resource:
 1. request
 2. use
 3. release

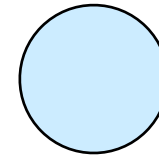
Resource-Allocation Graph

$G(V, E)$

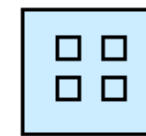
- V is partitioned into two types:
 - $P = \{P_1, P_2, \dots, P_n\}$, (set of processes)
 - $R = \{R_1, R_2, \dots, R_m\}$, (set of resource types)
(each type may have multiple instances)
- request edge
 - directed edge $P_i \rightarrow R_j$
- assignment edge
 - directed edge $R_j \rightarrow P_i$

Resource-Allocation Graph (Cont.)

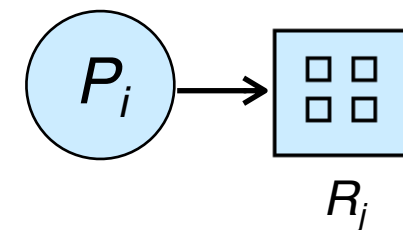
- Process



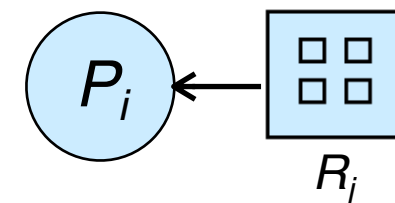
- Resource Type with 4 instances



- P_i requests instance of R_j

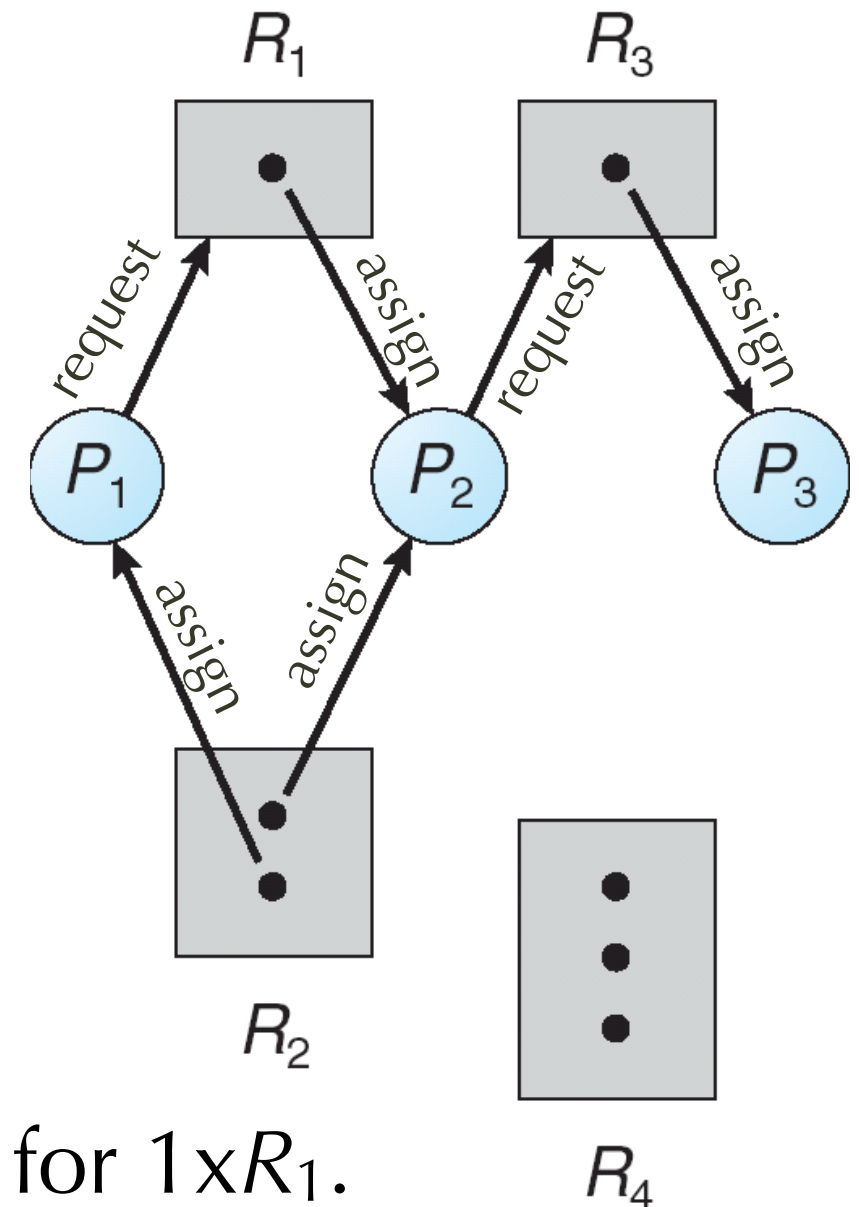


- P_i is holding an instance of R_j



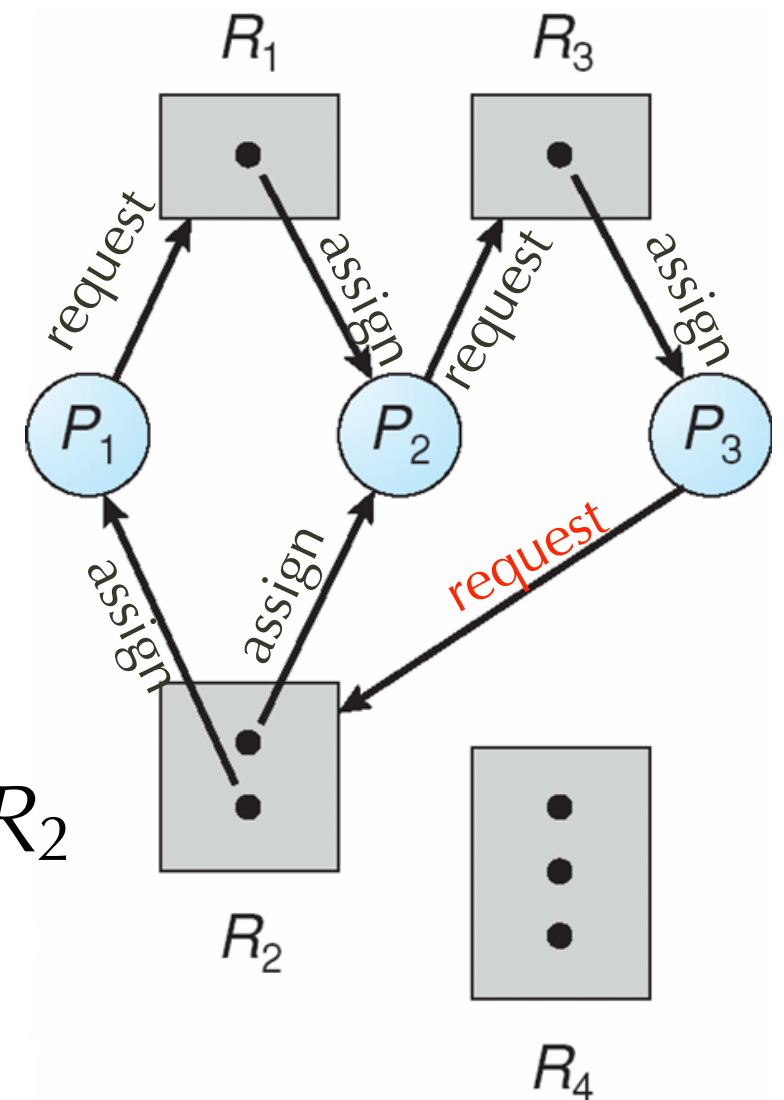
Example of a Resource Allocation Graph

- 3 processes $P_1..P_3$
- 4 resources $R_1..R_4$
 - R_1 : 1 instance, R_2 : 2 instances, R_3 : 1 instance, R_4 : 4 instances
- Request edge
 - P_1 requests R_1 , P_2 requests R_3
- assignment edges:
 - P_1 holds 1 instance of R_2 , P_2 holds 1 instance of R_2
- $\Rightarrow P_1$ is holding $1 \times R_2$ while waiting for $1 \times R_1$.



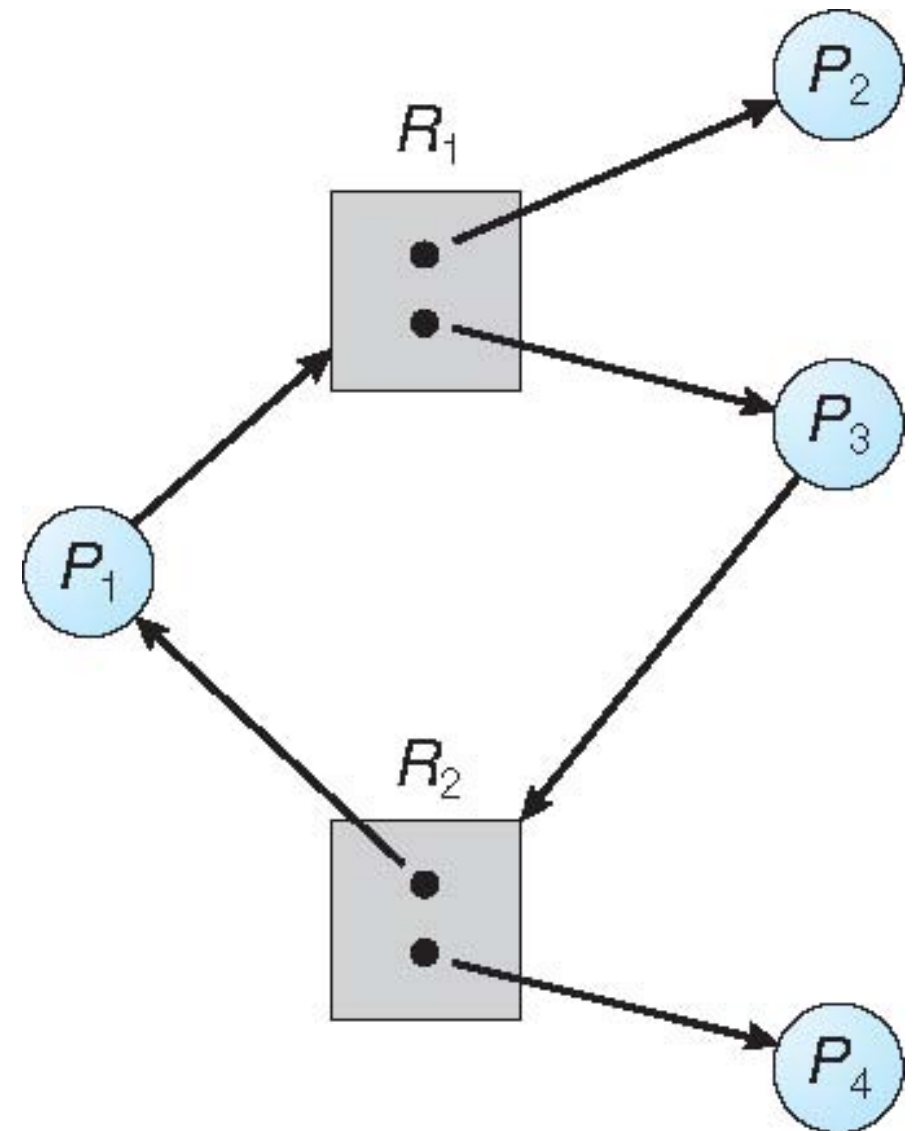
Resource Allocation Graph with a Deadlock

- if a graph contains a cycle,
 - a deadlock may exist
- In this ex., deadlock exists
 - P_1 waiting for P_2 to release R_1
 - P_2 waiting for P_3 to release R_3
 - P_3 waiting for P_1 or P_2 to release R_2
 - $\Rightarrow$ Cycle



Graph with a Cycle but no Deadlock

- Cycle
 - P_1 waiting for P_2 or P_3 to release R_1
 - P_3 waiting for P_1 or P_4 to release R_2
- No deadlock because
 - P_2 and P_4 wait for no-one
 $\Rightarrow$ no deadlock between P_1 and P_3 .



Basic Facts

- If graph contains no cycles $\Rightarrow$ no deadlock
- If graph contains a cycle $\Rightarrow$
 - if only one instance per resource type, then deadlock
 - if several instances per resource type, possibility of deadlock

Methods for Handling Deadlocks

- Deadlock **prevention**
 - ensures necessary conditions cannot hold
- Deadlock **avoidance**
 - dynamically examines state of resource allocation before assignment
- Deadlock **detection**
 - Allow the system to enter a deadlock state, detect it, and figure out what to do
- Deadlock **recovery**
 - After detection, ways to recover from deadlock state
- Pretend that deadlocks never occur in the system
 - used by most operating systems, including UNIX

Deadlock Prevention

- Limit the ways that requests can be made
 - some similar to race condition but higher level
- Break a necessary condition for deadlock
 - Mutual Exclusion
 - Hold and Wait
 - No preemption
 - Circular Wait

Deadlock Prevention

1. deny Mutual Exclusion

- Allow simultaneous access
 - e.g., sharing read-only files, no need for mutex
 - Use counting semaphores
- However, some resources require mutex!
 - e.g., some printers-- at most one process can print
 - Note: book calls these "non-sharable" but strictly speaking these are "not simultaneously accessible"

Deadlock Prevention

2. eliminate Hold and Wait

- "Atomic" multi-resource allocation.
Two options:
 1. Allocate **all** requested resources **before** process starts
 - Impractical for most applications -- too "static"
 2. Process must hold no resource before request
 - if can't get all resources => need to release ALL currently held resources
- * Disadvantages
 - Low resource utilization;
 - starvation possible

Deadlock Prevention

3. Allow Resource Preemption

- Temporarily take away resource already allocated to a process P_r
 - when P_r wants to requests more resources but must wait
 - $\Rightarrow$ temporarily release **all** resources acquired by P_r , so other processes get a chance to use those resources first
- Somewhat like context switch & scheduling
 - OS needs to track and re-acquire resources that P_r acquired but got taken away
 - Move P_r to ready queue when all its resources can be acquired.

Deadlock Prevention

3. Allow Resource Preemption

- Applicability
 - Resources whose states can be easily saved and restored
 - e.g. CPU registers & memory, database transactions
- Not generally applicable to..
 - mutex locks, semaphores,
 - printers & tape drives

Deadlock Prevention

4. Break Circular-Wait

- Impose a total ordering of all resource types
 - Require that each process requests resources in an increasing order of enumeration
 - prevents a circular order of resource acquisition
- Example:
 - $F(\text{tape drive}) = 1, F(\text{disk drive}) = 5, F(\text{printer}) = 12$
A process must request tape and disk drive before printer
- proof: counter-example does not exist
 - $P_0 (R_0) R_1, P_1 (R_1) R_2, \dots, P_N(R_N) \rightarrow R_0$
- Conflict:
 - $R_0 < R_1 < R_2 < \dots < R_N < R_0$ where P_N holds R_N and waits R_0

Deadlock Example

/* thread one runs in this function */

```
void *do_work_one(void *param) {  
    pthread_mutex_lock(&first_mutex);  
    pthread_mutex_lock(&second_mutex);  
    /** * Do some work */  
    pthread_mutex_unlock(&second_mutex);  
    pthread_mutex_unlock(&first_mutex);  
    pthread_exit(0);  
}
```

/* thread two runs in this function */

```
void *do_work_two(void *param) {  
    pthread_mutex_lock(&second_mutex);  
    pthread_mutex_lock(&first_mutex);  
    /** * Do some work */  
    pthread_mutex_unlock(&first_mutex);  
    pthread_mutex_unlock(&second_mutex);  
    pthread_exit(0);  
}
```

Deadlock Example with Lock Ordering

```
void transaction(Account from, Account to, double amount) {  
    mutex lock1, lock2;  
    lock1 = get_lock(from);  
    lock2 = get_lock(to);  
    acquire(lock1);  
        acquire(lock2);  
            withdraw(from, amount);  
            deposit(to, amount);  
        release(lock2);  
    release(lock1);  
}
```

Transactions 1 and 2 execute concurrently.

Transaction 1 transfers \$25 from account A to account B, and

Transaction 2 transfers \$50 from account B to account A

Deadlock Avoidance

- Simplest and most useful model
 - Needs additional *a priori* knowledge about system
- Requires that each process declare
 - the maximum number of resources of each type that it may need
- Deadlock-avoidance algorithms
 - dynamically examines the resource-allocation state to ensure that there can never be a circular-wait condition
- Resource-allocation state is defined by
 - the number of available and allocated resources,
 - and the maximum demands of the processes

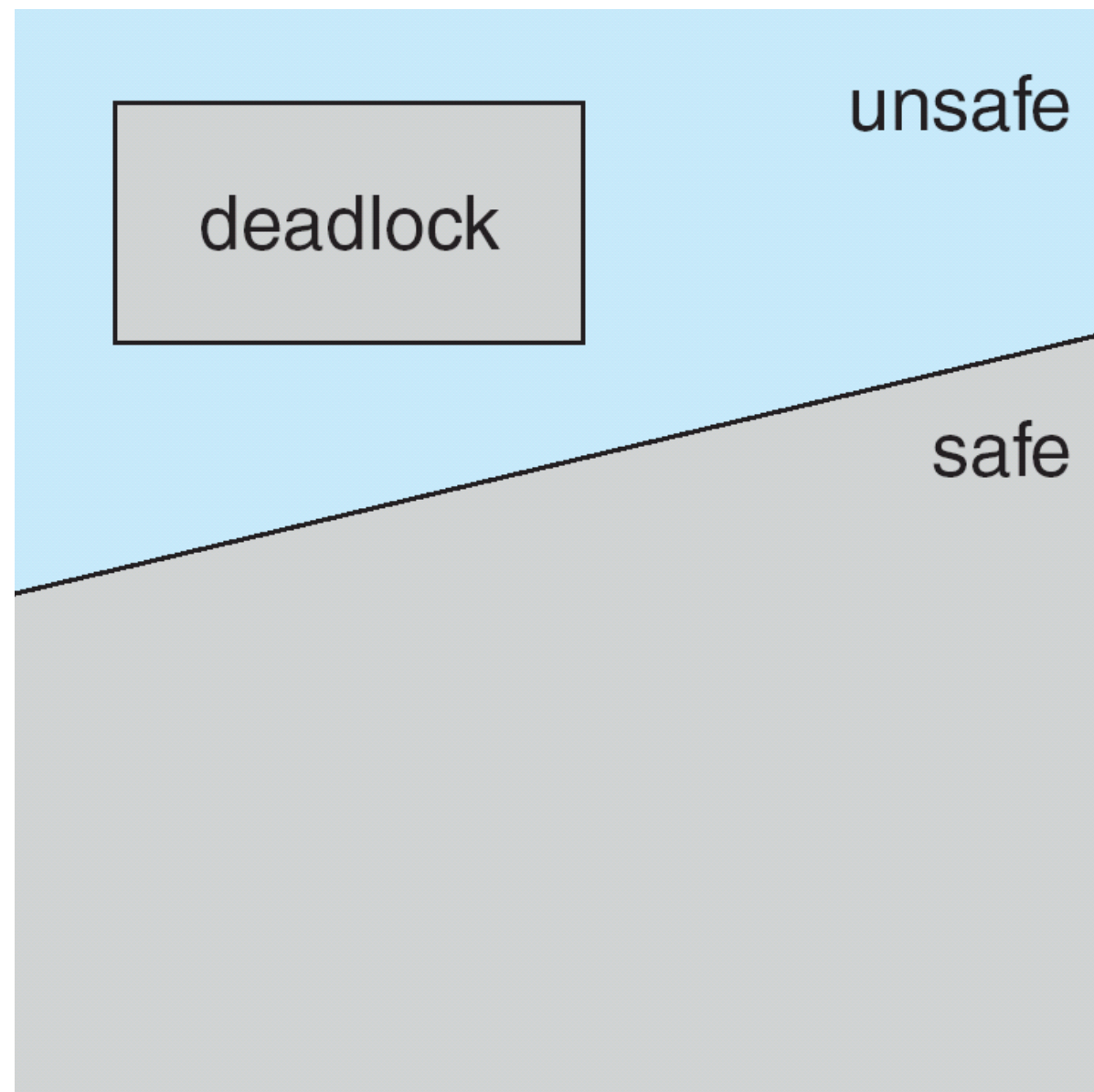
Safe State

- System is in safe state if
 - there exists sequence $\langle P_1, P_2, \dots, P_n \rangle$ of processes in systems
 - P_i requests resources = curr. available + those held by $P_j, j < i$
- OS must decide if allocation leaves system in *safe state*
 - If P_i resource needs are not immediately available,
 $\Rightarrow P_i$ waits until all P_j have finished
 - When P_j is finished, P_i can obtain needed resources, execute, return allocated resources, and terminate
 - When P_i terminates, P_{i+1} can obtain its needed resources

Basic Facts

- If a system is in safe state
 - $\Rightarrow$ no deadlocks
- If a system is in unsafe state
 - $\Rightarrow$ possibility of deadlock
- Avoidance
 - $\Rightarrow$ ensure that a system will never enter an unsafe state.

Safe, Unsafe, Deadlock State



Deadlock-Avoidance Algorithms

- Single instance of a resource type
 - Use a resource-allocation graph
- Multiple instances of a resource type
 - Use the banker's algorithm
- Both require a priori knowledge about resource needs (for "claims")

Resource-Allocation Graph Scheme

- Claim edge $P_i \rightarrow R_j$ (dashed line)
 - process P_j may request resource R_j
 - Resources must be claimed *a priori* in the system
- Request edge $P_i \rightarrow R_j$ (solid line)
 - Converted from a Claim edge when a process requests a resource
 - process P_j waits for resource R_j
- Assignment edge $R_j \rightarrow P_i$ (solid line)
 - Converted from a request edge when the resource is allocated to the process -- by flipping the direction of arrow!
 - Resource R_j is assigned to process P_j
- Assignment edge reconverts to a *claim edge* (flip direction back)

Resource-Allocation Graph

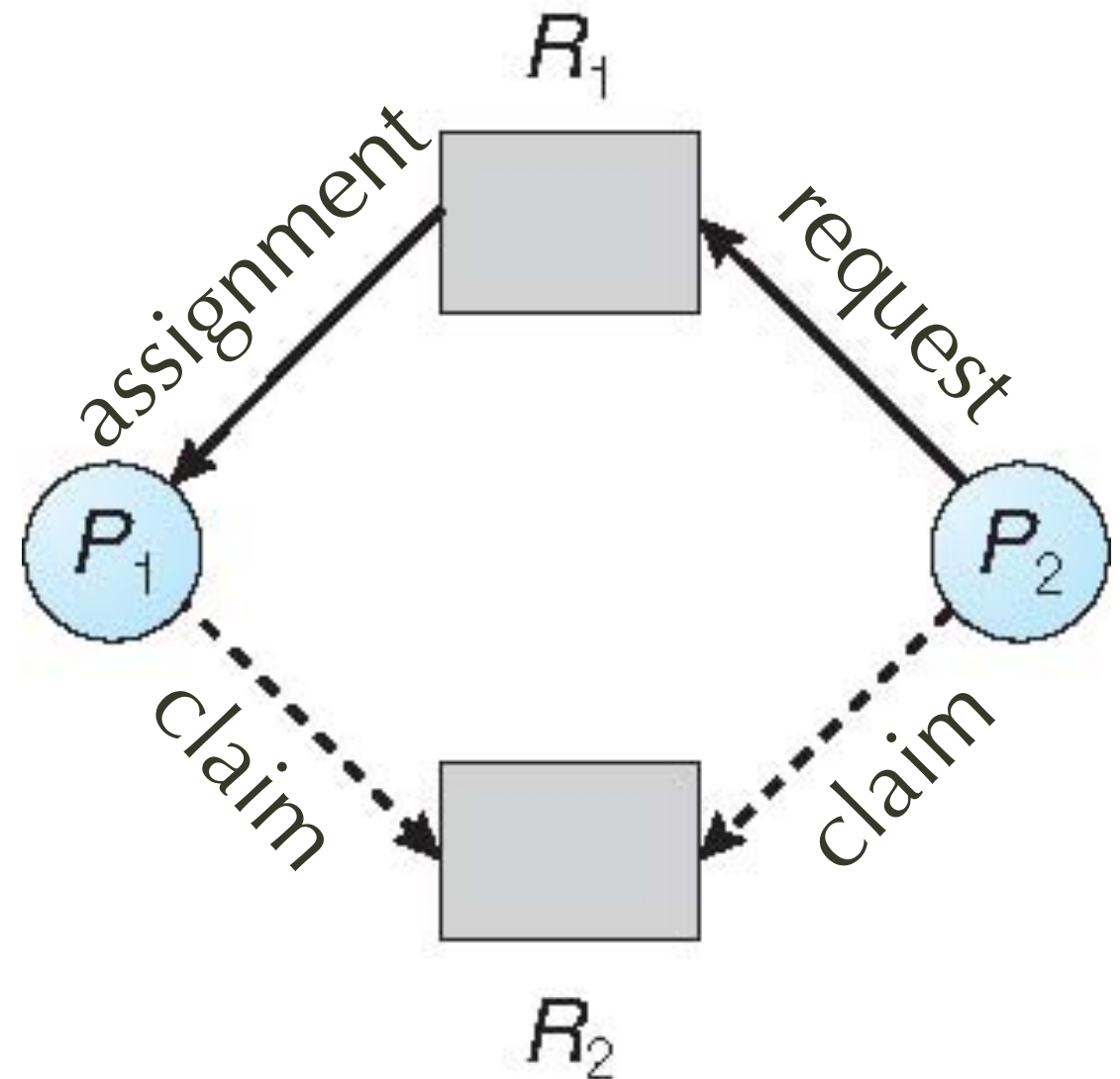
- for single-instance resources

Claim edge

-----> P_i may request for R_j
*convertible to request edge
as the process runs*

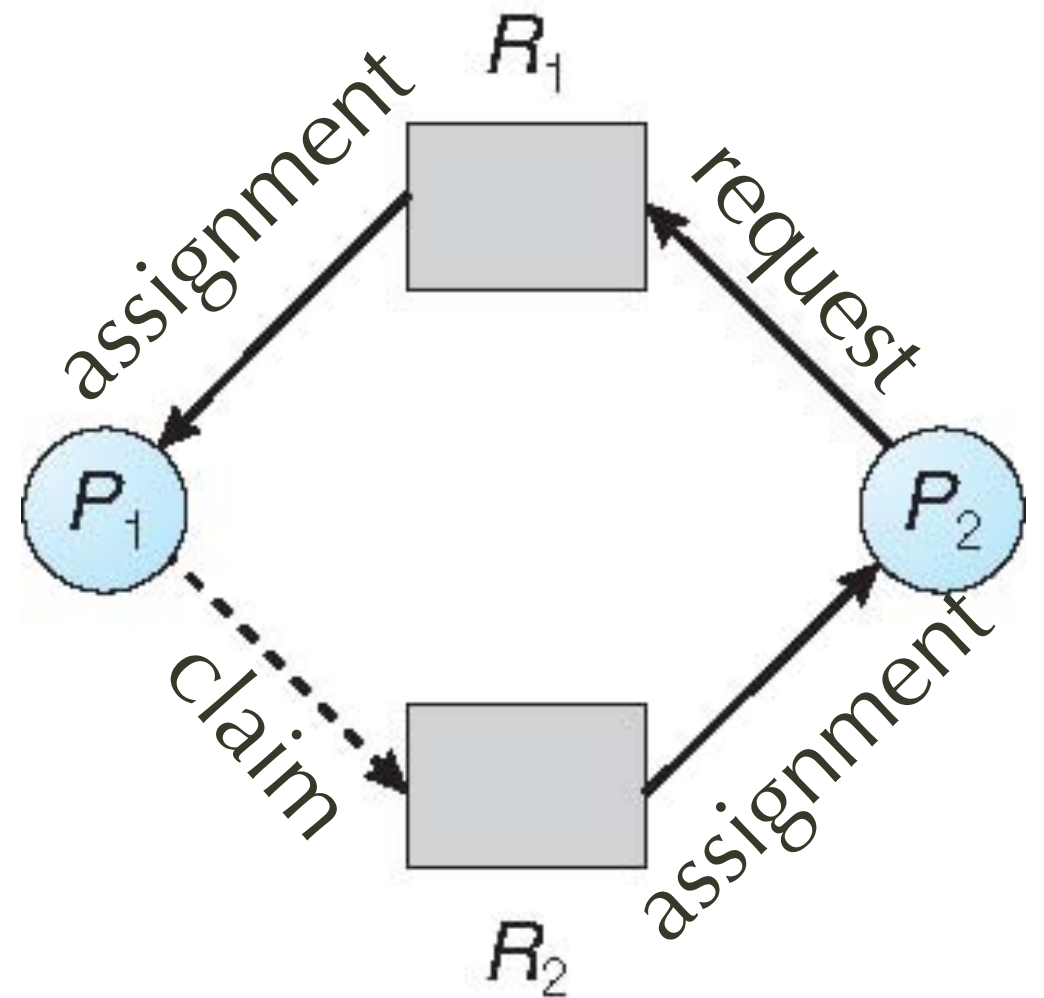
Request edge
-> P_i waits for R_j

Assignment edge
-< R_j assigned to P_i
*convertible to claim edge
as resource is released by process*



Unsafe State In Resource-Allocation Graph

- Resources must be claimed *a priori*
- Request granted only if no cycle created
- Check safety by cycle detection, $O(n^2)$
- Example: R_2 can't be allocated to P_2



Resource-Allocation Graph Algorithm

- For process P_i to request a resource R_j
- The request can be granted only if
 - converting request edge to assignment edge does not result in a cycle in the RAG

Multi-instance resources:

Safe State with Safe Sequence

- 12 tape drives
- assume at time t_0
- safe sequence: $\langle P_1, P_0, P_2 \rangle$
 - P_1 needs 2 more, 3 available
 - P_1 finishes, free up all disks

	Max need	Current holding
P_0	10	5
P_1	4	2
P_2	9	2

	Max	hold	avail
P_0	10	5	$3 - 2 = 1$
P_1	4	$2 + 2$	
P_2	9	2	

	Max	hold	avail
P_0	10	5	$1 + 4 = 5$
P_1	4	0	
P_2	9	2	

Safe State with Safe Sequence (cont'd)

- 12 tape drives, safe sequence: $\langle P_1, P_0, P_2 \rangle$

- P_0 needs 5 more, 5 available

	Max	hold	avail
P_0	10	5 + 5	5 - 5 = 0
P_1	4	0	
P_2	9	2	

- P_0 finishes, free up all disks

	Max	hold	avail
P_0	10	10-10	0+10 = 10
P_1	4	0	
P_2	9	2	

- P_2 needs $(9-2=)7$ more, 10 avail.

	Max	hold	avail
P_0	10	0	10-7 = 3
P_1	4	0	
P_2	9	2+7	

Unsafe State without Safe Sequence

- 12 tape drives
- assume at time t_1

	Max	Curr. holding	Available
P_0	10	5	2 instead of 3
P_1	4	2	
P_2	9	3 instead of 2	

- If P_2 is allocated 1 more drive

- then no safe sequence exists because remaining 1 drive cannot satisfy any process (all need more than 1 drive)

	Max	hold	avail
P_0	10	5	2-1 =1
P_1	4	2	
P_2	9	3+1=4	

=> System enters unsafe state

Banker's Algorithm

- For multiple instances of each resource type
 - Each process must *a priori* claim maximum use
- Banker's algorithm
 - use a general "safety algorithm" to predetermine if any safe sequence exists after allocation
 - allocate only if a safety sequence exists
- Safety Algorithm
 - find a process that can be satisfied by freeing resources
 - free that process's resources, repeat until all process are satisfied

Data Structures for the Banker's Algorithm

- $n = \#$ processes, $m = \#$ resources
 - **int** Available[m]; // [j] = #instances of resource type R_j that exist
 - **int** Work[m]; // [j] = #instances of resource type R_j not yet allocated
- **int** Max[n][m]; // P_i may request up to Max[i, j] instances of resource type R_j
- **int** Allocation[n][m]; // P_i is currently allocated Allocation[i, j] instances of R_j
- **int** Need[n][m]; // P_i may need up to Need[i, j] more instances of R_j to complete its task
- $\text{Need}[i, j] = \text{Max}[i, j] - \text{Allocation}[i, j]$

Safety Algorithm

1. **int** $Work[m] = Available[:];$
 bool $Finish[n] = [false]*n;$
2. Find an i such that both:
 - (a) $Finish[i] == false$
 - (b) $Need_i[:] \leq Work[:]$ /* means resources needed by P_i can all be satisfied, including trivially - i.e., zero need */If no such i exists, go to step 4
3. /* step 2 just found process P_i that is safe, so */
 $Finish[i] = true$ /* run P_i and get its allocation back! */
 $Work[:] = Work[:] + Allocation_i[:]$ /* give rsrc back to pool */
 go to step 2
4. If $Finish[i] == true$ for all i , then the system is in a safe state

Example of Safety test in Banker's Algorithm

- 5 processes $P_0..P_4$
- 3 resource types:
 - A (10 instances),
 - B (5 instances), and
 - C (7 instances)
- Snapshot at time T_0

	Max			Alloc			Need = Max - Alloc			Available		
P \ R	A	B	C	A	B	C	A	B	C	A	B	C
P_0	7	5	3	0	1	0	7	4	3	10 -) = 3	5 2 3	7 5 2
P_1	3	2	2	2	0	0	1	2	2			
P_2	9	0	2	3	0	2	6	0	0			
P_3	2	2	2	2	1	1	0	1	1			
P_4	4	3	3	0	0	2	4	3	1			
Total				7	2	5						

Steps of Safety Algorithm (1/8)

- Step 1: Initialize
 - $m = 3, n = 5$
 - $Work[:m] = Available[:m] = [3, 3, 2]$
 - $Finish[:n] = [False, False, False, False, False]$
- Step 2: for $i = 0$
 - $Need[0] = [7, 4, 3]$ $[7, 4, 3] \text{ not } \leq [3, 3, 2]$
 - $Finish[0]$ is **False**, and $Need[0] \leq Work$ is **False**
 $\Rightarrow P_0$ must wait

Steps of Safety Algorithm (2/8)

- Step 2: for $i = 1$
 - $\text{Need}[1] = [1, 2, 2]$
 - $\text{Finish}[1]$ is **False**, $\text{Need}[1] \leq \text{Work} \Rightarrow \text{True}$
 $[1, 2, 2] \leq [3, 3, 2]$
 - $\Rightarrow P_1$ is added to safe sequence.
- Step 3
 - $\text{Work} = \text{Work} + \text{Allocation}[1] \Rightarrow [5, 3, 2]$
 $[3, 3, 2] \quad [2, 0, 0]$
 - $\text{Finish} = [\text{False}, \text{True}, \text{False}, \text{False}, \text{False}]$

Steps of Safety Algorithm (3/8)

- Step 2: for $i = 2$
 - Need[2] = [6, 0, 0]
[6, 0, 0] not $\leq$ [5, 3, 2]
 - Finish[2] is false, Need[2] $\leq$ Work $\Rightarrow$ False
 - So P_2 must wait.

Steps of Safety Algorithm (4/8)

- Step 2: for $i = 3$
 - $\text{Need}[3] = [0, 1, 1]$ $[0, 1, 1] \leq [5, 3, 2]$
 - $\text{Finish}[3] = \text{False}$ and $\text{Need}[3] \leq \text{Work} \Rightarrow \text{True}$
 $\Rightarrow P_3$ is added to sequence.
- Step 3:
 - $[5, 3, 2]$ $[2, 1, 1]$
 - $\text{Work} = \text{Work} + \text{Allocation}[3] \Rightarrow [7, 4, 3]$
 - $\text{Finish} = [\text{False}, \text{True}, \text{False}, \text{True}, \text{False}]$

Steps of Safety Algorithm (5/8)

- Step 2: for $i = 4$
 - $\text{Need}[4] = [4, 3, 1]$ $[4, 3, 1] \leq [7, 4, 3]$
 - $\text{Finish}[4] = \text{False}$ and $\text{Need}[4] \leq \text{Work} \Rightarrow \text{True}$
 $\Rightarrow P_4$ is added to sequence.
- Step 3:
 $[7, 4, 3]$ $[0, 0, 2]$
 - $\text{Work} = \text{Work} + \text{Allocation}[4] \Rightarrow [7, 4, 5]$
 - $\text{Finish} = [\text{False}, \text{True}, \text{False}, \text{True}, \text{True}]$

Steps of Safety Algorithm (6/8)

- Step 2: for $i = 0$
 - $\text{Need}[0] = [7, 4, 3]$ $[7, 4, 3] \leq [7, 4, 5]$
 - $\text{Finish}[0] = \text{False}$ and $\text{Need}[0] \leq \text{Work} \Rightarrow \text{True}$
 $\Rightarrow P_0$ is added to sequence.
- Step 3:
 - $[7, 4, 5]$ $[0, 1, 0]$
 - $\text{Work} = \text{Work} + \text{Allocation}[0] \Rightarrow [7, 5, 5]$
 - $\text{Finish} = [\text{True}, \text{True}, \text{False}, \text{True}, \text{True}]$

Steps of Safety Algorithm (7/8)

- Step 2: for $i = 1$
 - $\text{Finish}[1] = \text{True}$
 $\Rightarrow P_1$ already in sequence, so skip.

Steps of Safety Algorithm (8/8)

- Step 2: for $i = 2$
 - $\text{Need}[2] = [6, 0, 0]$ $[6, 0, 0] \leq [7, 5, 5]$
 - $\text{Finish}[2] = \text{False}$ and $\text{Need}[2] \leq \text{Work} \Rightarrow \text{True}$
 $\Rightarrow P_2$ is added to sequence.
- Step 3:
 - $\text{Work} = \overset{[7, 5, 5]}{\text{Work}} + \overset{[3, 0, 2]}{\text{Allocation}[2]} \Rightarrow [10, 5, 7]$
 - $\text{Finish} = [\text{True}, \text{True}, \text{True}, \text{True}, \text{True}]$
- Finished! sequence = $[1, 3, 4, 0, 2]$

Resource-Request Algorithm for Process P_i

- $Request_i$ = request vector for process P_i .
- $Request_i[j] = k$ means process P_i wants k instances of resource R_j
- Algorithm Outcome of Resource-Request by P_i
 - safe $\Rightarrow$ the resources are allocated to P_i
 - unsafe $\Rightarrow P_i$ must wait, and the old resource-allocation state is restored

Resource-Request Algorithm for Process P_i

1. If $Request_i[:] > Need_i[:]$

then **raise error** condition: process exceeded its max. claim

2. If $Request_i > Available$,

then P_i must wait, since resources are not available

3. Pretend to allocate requested resources to P_i by modifying the state as follows:

$$Available[:] = Available[:] - Request_i[:];$$

$$Allocation_i[:] = Allocation_i[:] + Request_i[:];$$

$$Need_i[:] = Need_i[:] - Request_i[:];$$

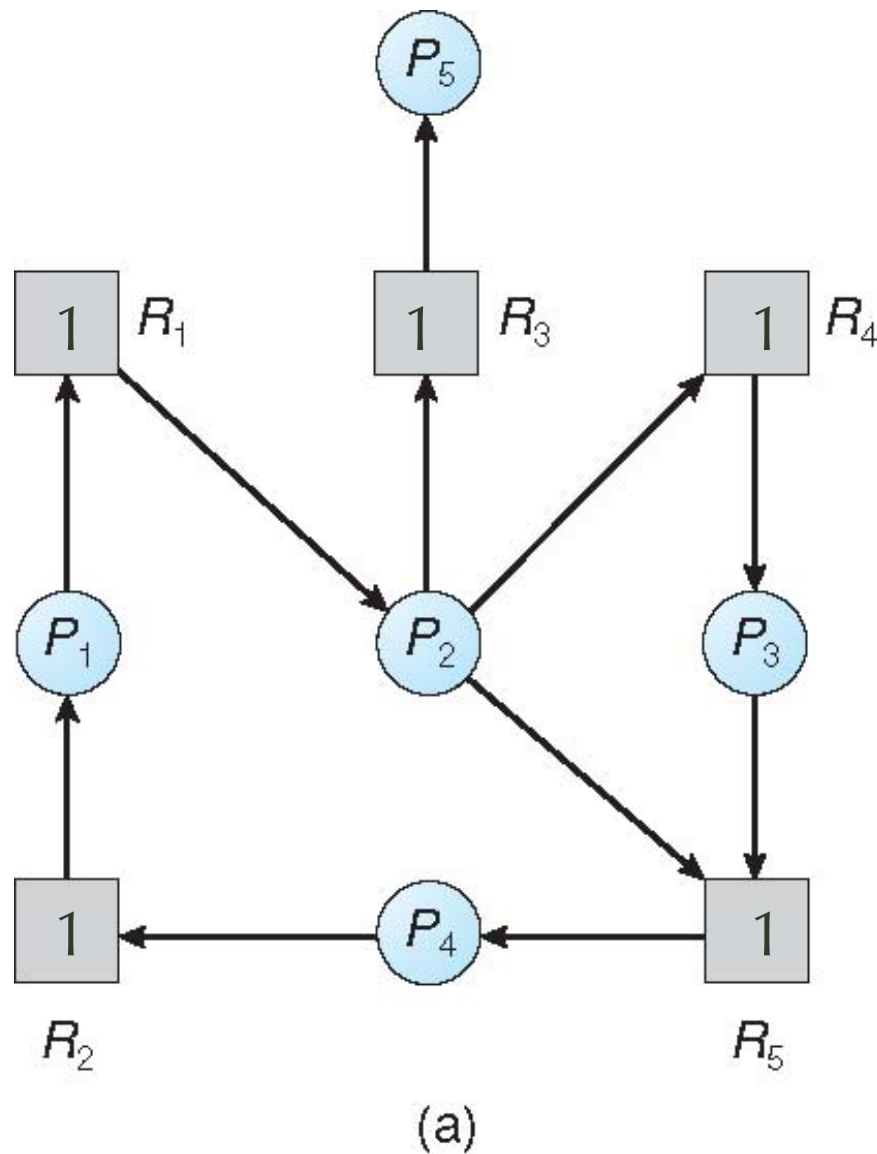
Deadlock Detection and Recovery

Single Instance of Each Resource Type

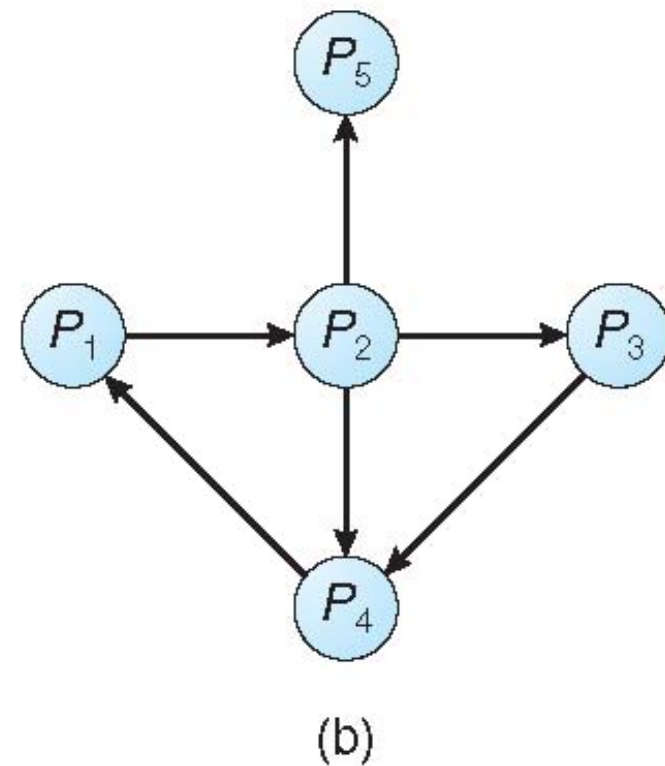
- Maintain **wait-for** graph
 - Vertices are processes
 - $P_i \rightarrow P_j$ if P_i is waiting for P_j
- Periodically invoke an algorithm that searches for a cycle in the graph.
 - If there is a cycle, there exists a deadlock
- Cycle detection in a graph $O(n^2)$ operations, where n is the number of vertices in the graph

Resource-Allocation Graph and Wait-for Graph

Wait-for Graph is applicable only to single instance per resource type, but not multi-instance!!



Resource-Allocation Graph



Corresponding wait-for graph

Several Instances of a Resource Type

- Available:
 - A vector of length m indicates the number of available resources of each type
- Allocation:
 - An $n \times m$ matrix defines the number of resources of each type currently allocated to each process
- Request:
 - An $n \times m$ matrix indicates the current request of each process.
 - If $\text{Request}[i][j] = k$, then process P_i is requesting k more instances of resource type R_j .

Detection Algorithm

1. Let ***Work*** and ***Finish*** be vectors of length ***m*** and ***n***, respectively.

Initialize:

(a) ***Work*****[:] = *Available*****[:]**

(b) **for** ***i*** = 1,2, ..., ***n***,
 if ***Allocation***_{***i***} **≠ 0**:
 Finish_{***i***} = **False**;
 else ***Finish***_{***i***} = **True**

2. Find an index ***i*** such that both:

(a) ***Finish***_{***i***} == **False**

(b) ***Request***_{***i***} ≤ ***Work***

If no such ***i*** exists, go to step 4

Detection Algorithm (Cont.)

3. *Work* = *Work* + *Allocation*_{*i*}

Finish[*i*] = True

go to step 2

4. If *Finish*[*i*] == False, for some *i*, $1 \leq i \leq n$, then the system is in deadlock state.

Moreover, if *Finish*[*i*] == False, then P_i is deadlocked

Algorithm requires an order of $O(m \times n^2)$ operations to detect whether the system is in deadlocked state

Example of Detection Algorithm

- Five processes P_0 through P_4 ; three resource types A (7 instances), B (2 instances), and C (6 instances)
- Snapshot at time T_0 :

	Allocation			Request			Available		
	A	B	C	A	B	C	A	B	C
P_0	0	1	0	0	0	0	0	0	0
P_1	2	0	0	2	0	2			
P_2	3	0	3	0	0	0			
P_3	2	1	1	1	0	0			
P_4	0	0	2	0	0	2			

- Sequence $\langle P_0, P_2, P_3, P_1, P_4 \rangle$ will result in ***Finish***[i] == **True** for all i

Steps of Deadlock Detection

- Step 1: Initialize
 - $m = 3, n = 5$
 - $\text{Work}[:m] = \text{Available}[:m] = [0, 0, 0]$
 - $\text{Finish}[:n] = [\text{False}, \text{False}, \text{False}, \text{False}, \text{False}]$
- Step 2: for $i = 0$

$[0, 0, 0] \leq [0, 0, 0]$

 - $\text{Finish}[0]$ is **False**, and $\text{Request}[0] \leq \text{Work}$ is **True**
 $\Rightarrow P_0$ is added to sequence.
- Step 3:
 - $\text{Work} += \text{Allocation}[0] \Rightarrow [0, 1, 0]$
 - $\text{Finish} = [\text{True}, \text{False}, \text{False}, \text{False}, \text{False}]$

Steps of Deadlock Detection

- Step 2: for $i = 1$
 - $[2, 0, 2]$ not $\leq [0, 1, 0]$
Finish[1] is **False**, but Request[1] $\leq$ Work is **False**
 $\Rightarrow P_1$ must wait
- Step 2: for $i = 2$
 - $[0, 0, 0] \leq [0, 1, 0]$
Finish[2] is **False**, and Request[2] $\leq$ Work is **True**
 $\Rightarrow P_2$ is added to sequence
- Step 3:
 - $[3, 0, 3]$
Work += Allocation[2] $\Rightarrow [3, 1, 3]$
 - Finish = [**True**, False, **True**, False, False]

Steps of Deadlock Detection

- Step 2: for $i = 3$
 $[1, 0, 0] \leq [3, 1, 3]$
 - Finish[3] is **False**, and Request[3] $\leq$ Work is **True**
 $\Rightarrow P_3$ is added to sequence
- Step 3:
 $[2, 1, 1]$
 - Work += Allocation[3] $\Rightarrow [5, 2, 4]$
 - Finish = [True, False, True, **True**, False]

Steps of Deadlock Detection

- Step 2: for $i = 4$
 $[0, 0, 2] \leq [5, 2, 4]$
 - Finish[4] is **False**, and Request[4] $\leq$ Work is **True**
 $\Rightarrow P_4$ is added to sequence
- Step 3:
 $[0, 0, 2]$
 - Work += Allocation[4] $\Rightarrow [5, 2, 6]$
 - Finish = [True, False, True, True, **True**]

Steps of Deadlock Detection

- Step 2: for $i = 0$
 - Finish[0] is **True**
 $\Rightarrow$ *continue*
- Step 2: for $i = 1$ $[2, 0, 2] \leq [5, 2, 6]$
 - Finish[1] is **False** and Request[1] $\leq$ Work is **True**
- Step 3:
 $[2, 0, 0]$
 - Work += Allocation[4] $\Rightarrow [7, 2, 6]$
 - Finish = [True, **True**, True, True, True]

Another Example of Detection Algorithm

- Suppose Request[2] is [0, 0, 1] instead.

	Allocation			Request			Available		
	A	B	C	A	B	C	A	B	C
P_0	0	1	0	0	0	0	0	0	0
P_1	2	0	0	2	0	2			
P_2	3	0	3	0	0	1			
P_3	2	1	1	1	0	0			
P_4	0	0	2	0	0	2			

- Step 2: for $i = 0$
 - Finish[0] is **False**, and Request[0] $\leq$ Work is **True**
 $\Rightarrow P_0$ is added to sequence.
- Step 3:
 - Work += Allocation[0] $\Rightarrow$ [0, 1, 0]

Steps of Deadlock Detection

- Step 2: for $i = 1$
 $[2, 0, 2]$ not $\leq [0, 1, 0]$
 - Finish[1] is **False**, but Request[1] $\leq$ Work is **False**
 $\Rightarrow P_1$ must wait
- Step 2: for $i = 2$
 $[0, 0, 1]$ not $\leq [0, 1, 0]$
 - Finish[2] is **False**, and Request[2] $\leq$ Work is **False**
 $\Rightarrow P_2$ must wait
- Step 2: for $i = 3$
 $[1, 0, 0]$ not $\leq [0, 1, 0]$
 - Finish[3] is **False**, and Request[3] $\leq$ Work is **False**
 $\Rightarrow P_3$ must wait

Steps of Deadlock Detection

- Step 2: for $i = 4$
 $[0, 0, 2]$ not $\leq [0, 1, 0]$
- Finish[4] is **False**, and Request[4] $\leq$ Work is **False**
 $\Rightarrow P_4$ must wait
- you can continue looping, but it won't make any difference. Therefore deadlock
- Finish = [True, False, False, False, False]
 $\Rightarrow$ could free up resources held by P_0 , but would not be enough to satisfy the other processes.
 $\Rightarrow$ Deadlock is between P_1, P_2, P_3 , and P_4 .

Detection-Algorithm Usage

- When, and how often, to invoke depends on:
 - How often a deadlock is likely to occur?
 - How many processes will need to be rolled back?
 - one for each disjoint cycle
- If detection algorithm is invoked arbitrarily,
 - there may be many cycles in the resource graph
 - so we would not be able to tell which of the many deadlocked processes “caused” the deadlock.

Recovery from Deadlock: Process Termination

- Abort all deadlocked processes
- Abort one process at a time until the deadlock cycle is eliminated
- In which order should we choose to abort?
 - Priority of the process
 - How long process has computed, and how much longer to completion
 - Resources the process has used
 - Resources process needs to complete
 - How many processes will need to be terminated
 - Is process interactive or batch?

Recovery from Deadlock: Resource Preemption

- Selecting a victim –
 - minimize cost
- Rollback –
 - return to some safe state, restart process for that state
- Starvation –
 - same process may always be picked as victim, include number of rollback in cost factor