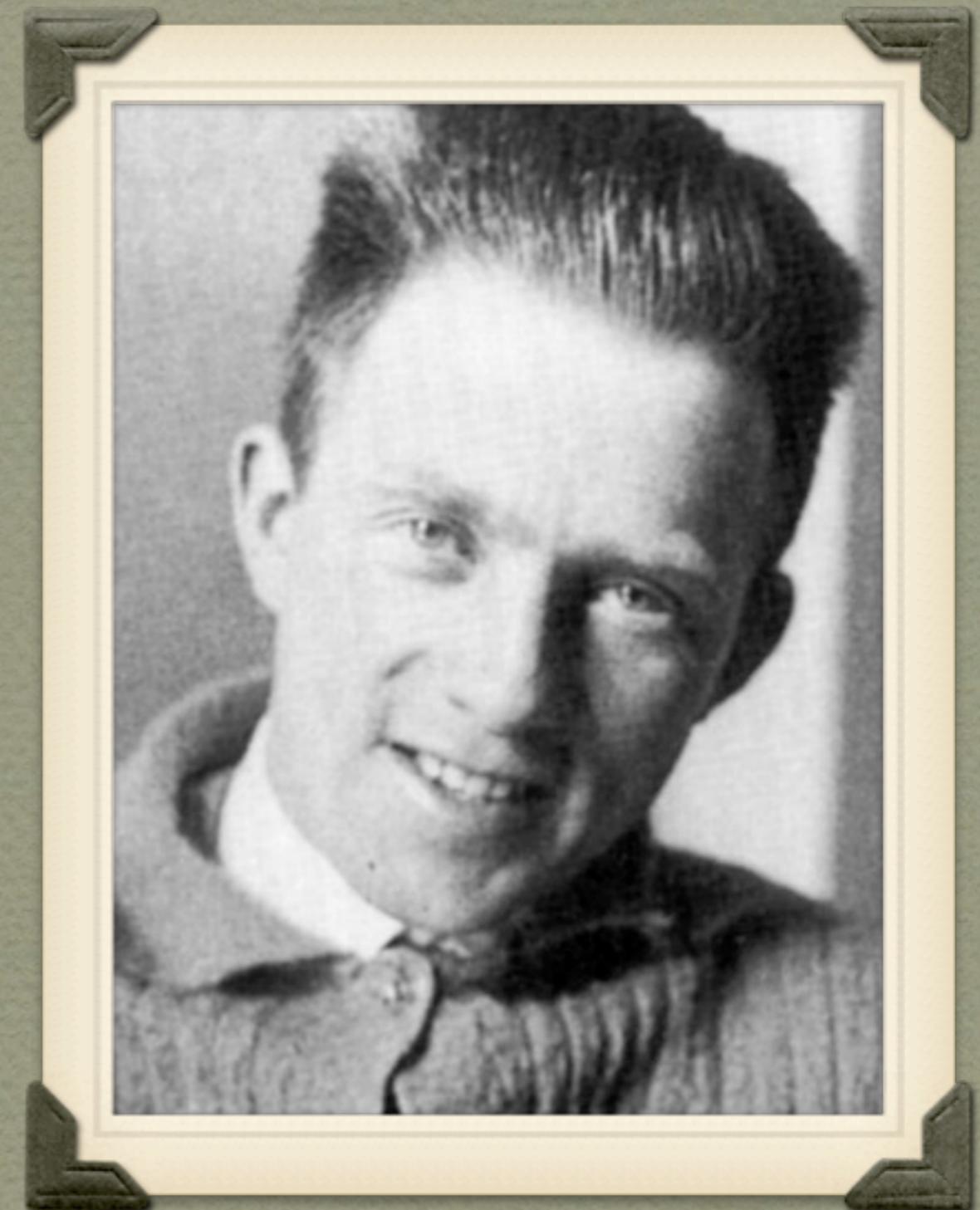


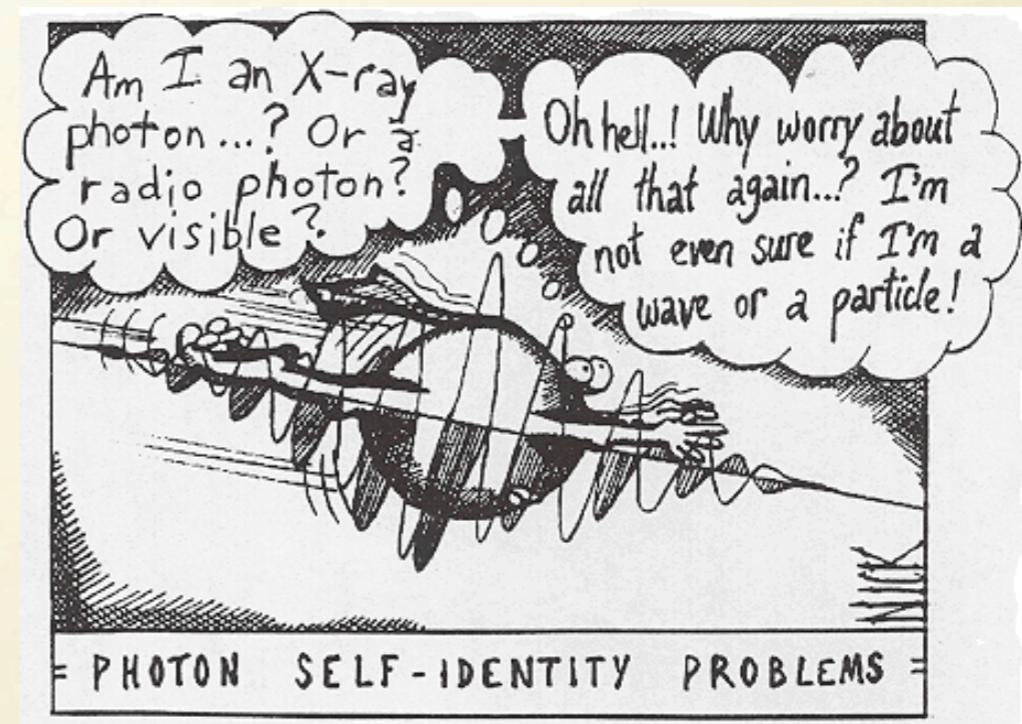
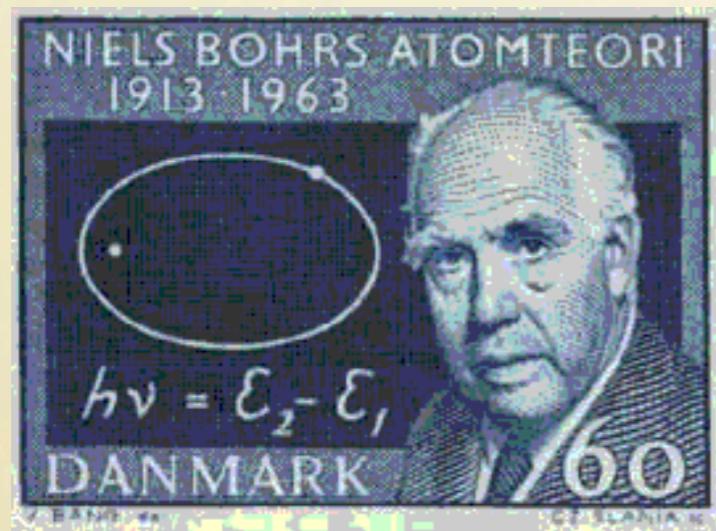
# UNCERTAINTY PRINCIPLES

BY W. HEISENBERG



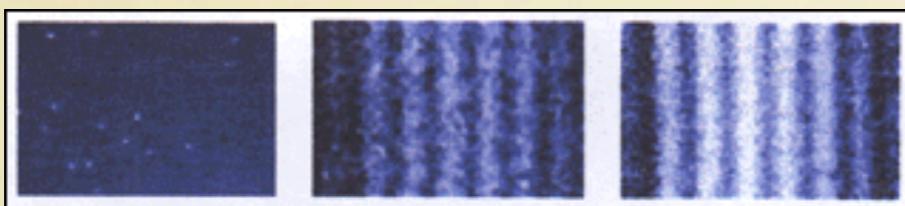
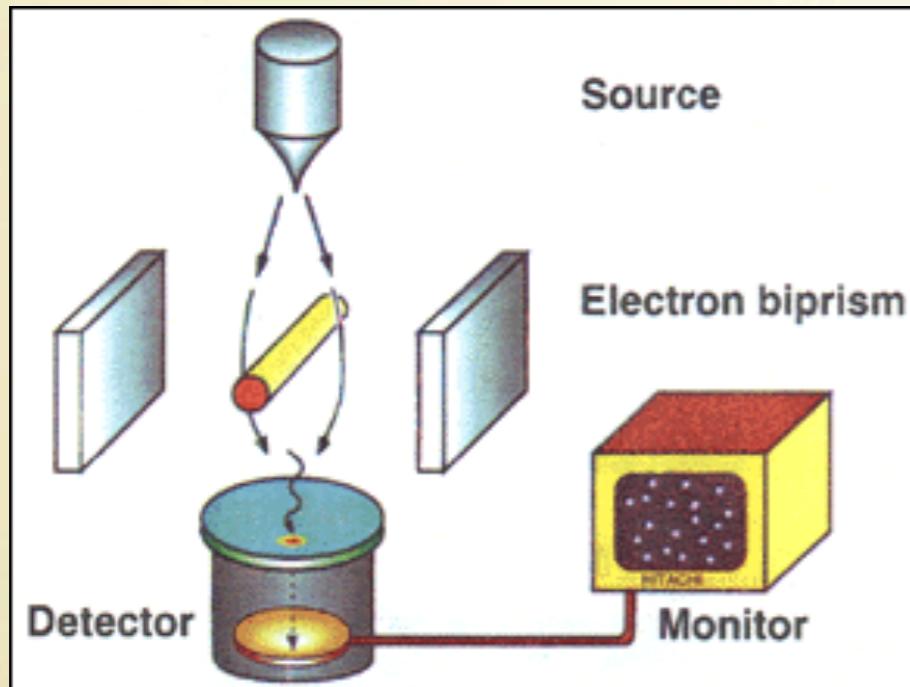
# PARTICLE V.S. WAVE

光波在高能量時看起來像粒子



原子在低能量時看起來像波動

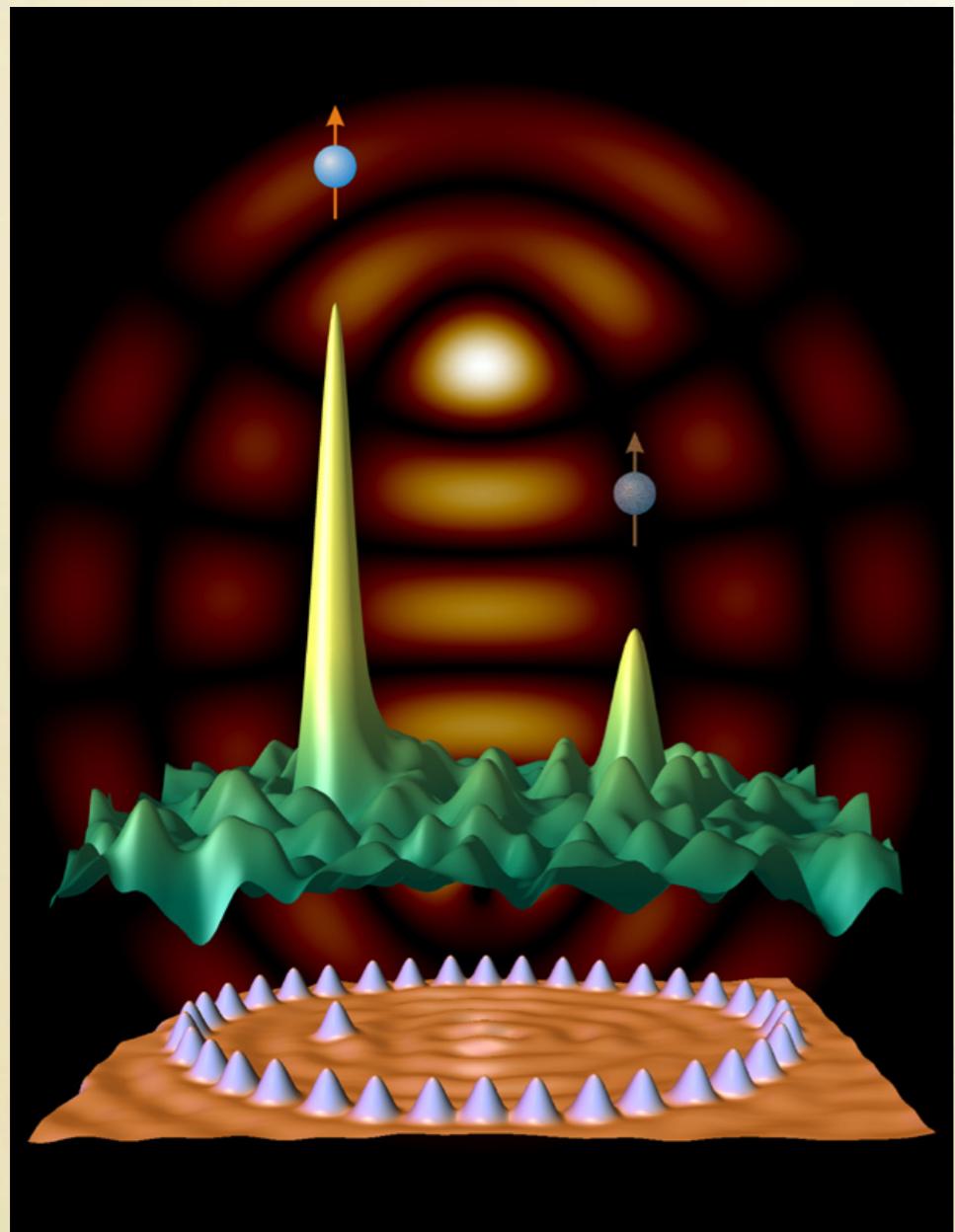
# SELF INTERFERENCE?



結果電子們也會有干涉條紋！

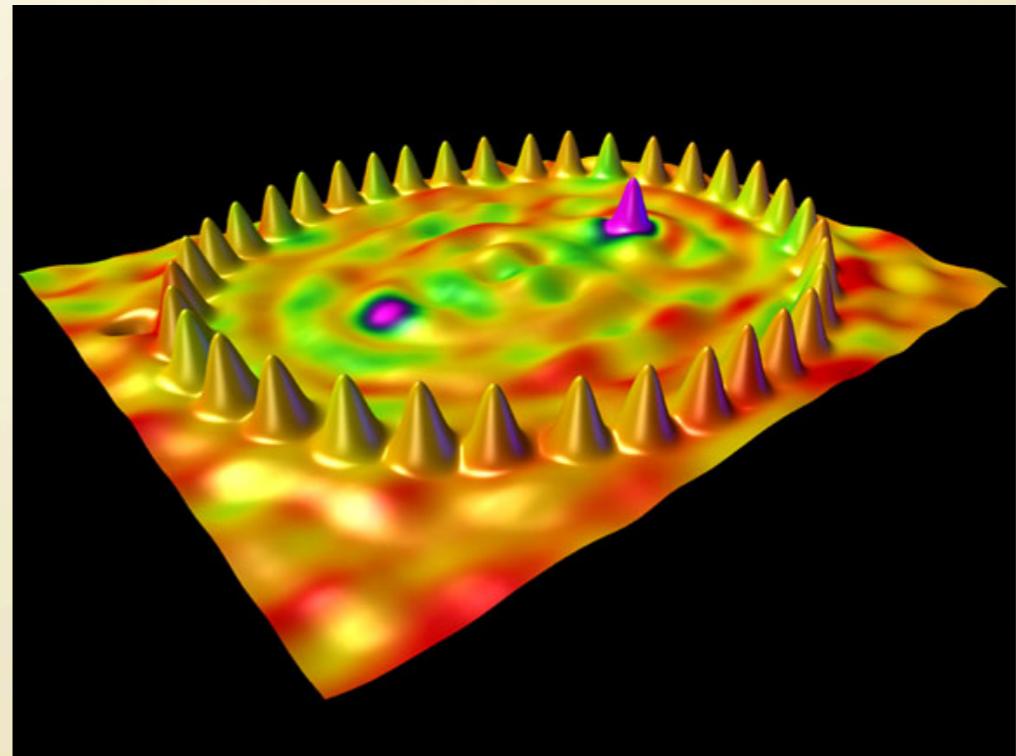
神奇的是…  
一隻電子  
就可以和自己干涉！

# QUANTUM MIRAGE



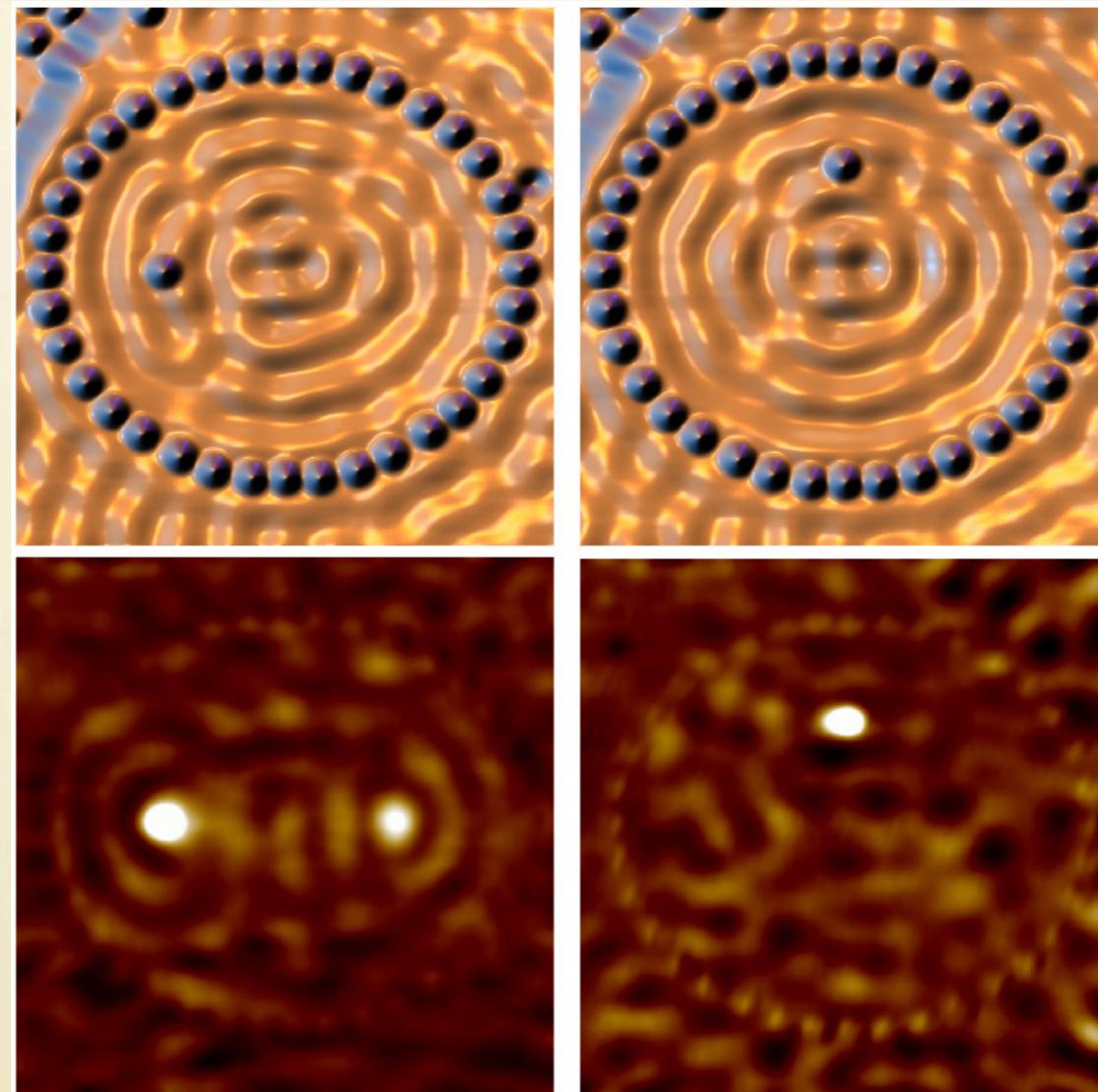
量子力學教會電子如何分身！

在橢圓形的奈米巨蛋球場中，  
在焦點上的電子會有分身。



# MATTER WAVE!

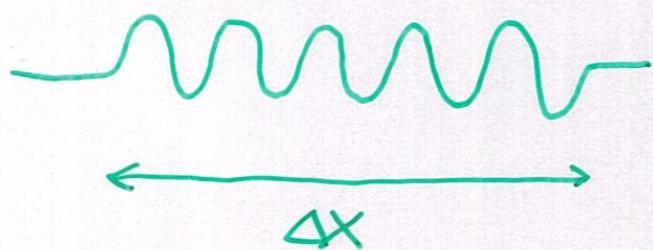
忽焉在前



忽焉在後

## Uncertainty Principle.

Consider the following wave packet ( $e^-$  can be viewed as wave !!) with finite width  $\Delta x$ .



Count the number of wave front to determine  $k$ .

$$k \cdot \Delta x \approx 2\pi (N, N+1)$$

*uncertain*

The uncertainty in  $k$  is  $\Rightarrow \Delta k \approx \frac{2\pi}{\Delta x}$

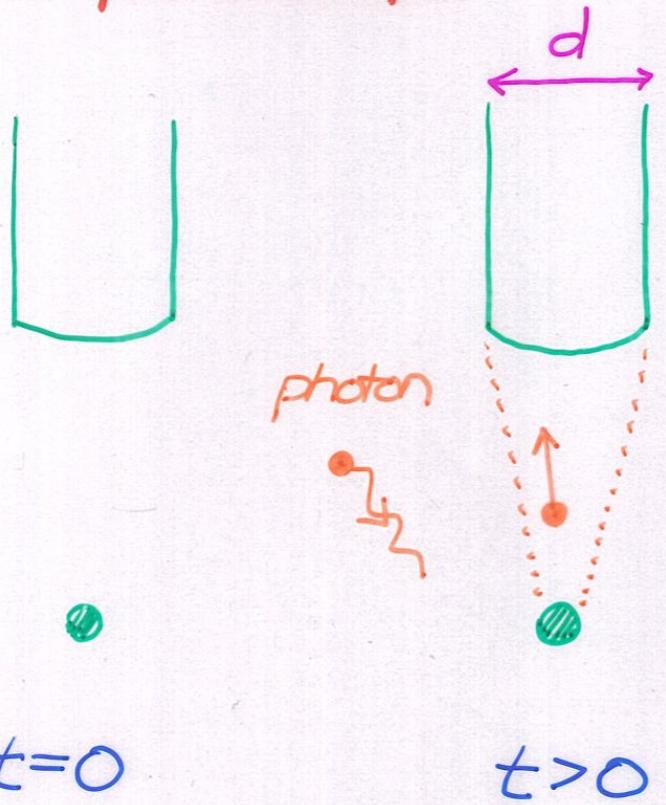
Thus, we arrive at the conclusion :

$$\Delta x \Delta k \approx 2\pi$$

$$p = \hbar k$$

$$\Delta x \Delta p \approx \hbar$$

## A simple example



know  $p$   
but not  $x$

$\Delta p \neq 0$   
 $\Delta x \neq 0$

The momentum uncertainty comes from interaction with photons.

$$\Delta p_e = \Delta p_{\text{photon}}$$

$$\Rightarrow \Delta p_{\text{photon}} = p_{\text{photon}} \cdot \sin \alpha$$

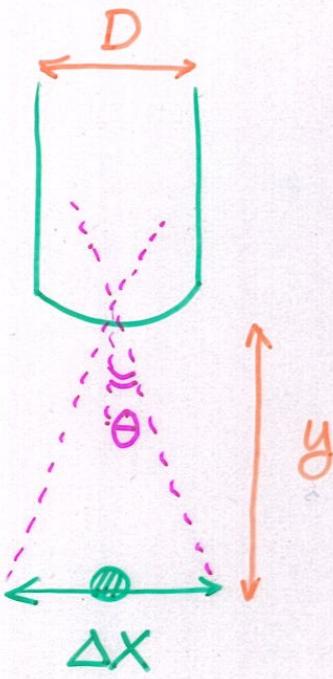
$$\approx \left(\frac{h}{\lambda}\right) \left(\frac{d}{2y}\right) = \frac{hd}{2\lambda y}$$

Finally,

$$\boxed{\Delta p_e \approx \frac{hd}{2\lambda y}}$$

if

To reduce error, we want small  $d$  and long  $\lambda$  !!



Since the microscope has limited resolution, one can not know the position precisely.

$$\Delta x \approx 2y \sin \theta \approx 2y \cdot \theta$$

Note that the minimum angle  $\theta \approx \frac{1}{d}$

$$\Delta x \approx \frac{2y\lambda}{d}$$

Collect results:

$$\Delta p \approx \frac{h d}{2\lambda y}$$

$$\Delta x \approx \frac{2\lambda y}{d}$$

$$\Rightarrow \Delta x \Delta p \approx h !!$$

uncertainty principle

More rigorous results for nuts 

Previous arguments are nice. The crucial point for Heisenberg Uncertainty Principle to exist is the wave nature of matter.

$$\Delta P_x \Delta x \geq \frac{1}{2} \hbar$$

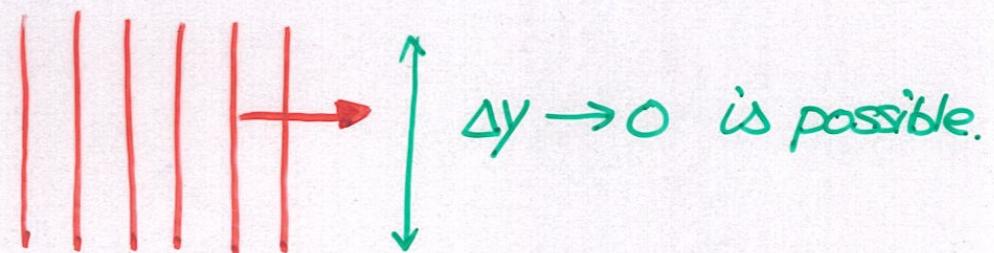
$$\Delta P_y \Delta y \geq \frac{1}{2} \hbar$$

$$\Delta P_z \Delta z \geq \frac{1}{2} \hbar$$

conjugate variables

\* Note that only "conjugate variables" have uncertainty relations !!

i.e.



definite  $P_x$

$$\Delta P_x = 0$$

Another point of view:  $x P_x - P_x x = i\hbar$

## Size of H atom.



$$\Delta x \approx r$$

Use uncertainty principle to estimate the size of H atom. Assume  $\Delta x \approx r$ .

$$\Rightarrow \Delta p \approx p \approx \frac{\hbar}{r}$$

The total energy of electron is

$$E = \frac{p^2}{2m_e} - \frac{Ze^2}{4\pi\epsilon_0 r} \approx \frac{\hbar^2}{2m_e r^2} - \frac{Ze^2}{4\pi\epsilon_0 r}$$

Set  $\frac{dE}{dr} = 0$  to determine  $r$ .

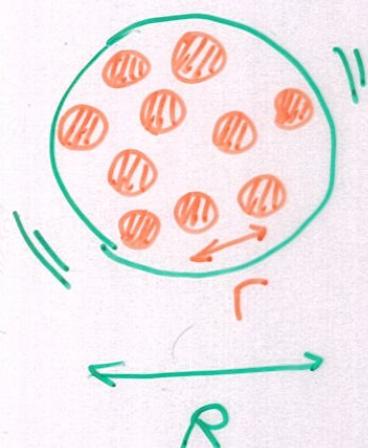
$$-\frac{\hbar^2}{m_e r^3} + \frac{Ze^2}{4\pi\epsilon_0 r^2} = 0 \Rightarrow$$

$$r = \frac{4\pi\epsilon_0 \hbar^2}{m_e Ze^2}$$



$$\frac{dE}{dr} = 0$$

## The mass of star (I)



Consider a star with  $N$  particles, each of mass  $m$ .

$$E = \sqrt{m^2c^4 + p^2c^2} \approx pc \quad \text{relativistic limit.}$$

Making use of uncertainty relation  $P \sim \frac{\hbar}{r}$

The kinetic energy  $K$  is roughly,

$$K \approx pc \approx \frac{\hbar c}{r}$$

On the other hand,

$$V \sim -\frac{GMm}{R} = -\frac{GNm^2}{R} \rightarrow -\frac{GN^{2/3}m^2}{r}$$

Note that  $\frac{4}{3}\pi R^3 \approx N \frac{4}{3}\pi r^3 \rightarrow R = \sqrt[3]{N} r$

The total energy of the star is

$$E = \frac{\hbar c}{r} - \frac{GN^{2/3}m^2}{r}$$

## The mass of star (II)

The total energy is  $E = \frac{1}{r} (\hbar c - G N^{2/3} m^2)$

$$N_c = \left( \frac{\hbar c}{G m^2} \right)^{3/2} \approx 2 \times 10^{57} \sim 1.4 \odot$$

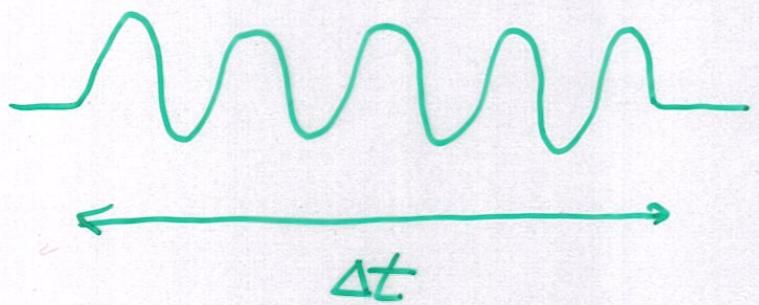
For  $N > N_c$ , the total energy is always negative  $\Rightarrow r \downarrow$  indefinitely..... the so-called gravitational collapse ☺



the quantum uncertainty gives rise to some pressure to resist gravitational contraction !!

## Life time and energy width.

Again, consider a train of signal with duration  $\Delta t$ . Now try to determine the angular frequency  $\omega$



$$\omega \Delta t \approx 2\pi (N, N+1)$$

Thus, the uncertainty in  $\omega$  is

$$\Delta\omega = \frac{2\pi}{\Delta t}$$

$$\Delta\omega \Delta t \approx 2\pi \Rightarrow (\hbar\Delta\omega) \Delta t \approx \hbar \cdot 2\pi$$

$\Rightarrow$

$$\Delta E \Delta t \approx \hbar$$

$\Delta t$ : life time

$\Delta E$ : energy width

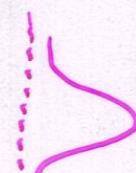
Consider excited state in H atom

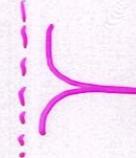


Since its life time  $\Delta t$  is finite, its energy can not be determined without uncertainty  $\Delta E$ ,

$$\Delta E \approx \frac{\hbar}{\Delta t} \approx 10^{-7} \text{ eV}$$

Quite small  $\therefore$

$E_1$    $\Delta E_1 \cdot \Delta t \approx \hbar$  finite life time.

$E_0$    $\Delta E_0 \approx 0$  life time  $\rightarrow \infty$

# WAVE FUNCTION

BY E. SCHRODINGER



## Quantum Mechanics

To describe a quantum particle, one needs to know its Wave Function  $\Psi(x, y, z, t)$ .

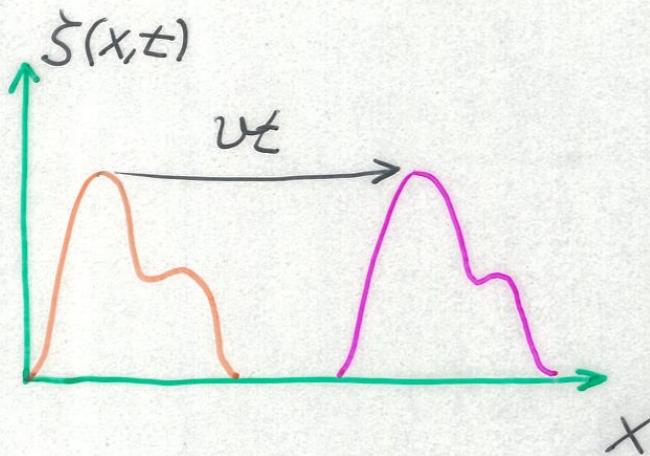
$$|\Psi(x, y, z, t)|^2 dx dy dz = \text{probability in } \begin{matrix} dz \\ \text{---} \\ dx \quad dy \end{matrix} \text{ at } (x, y, z)$$

To solve for the wave function  $\Psi(x, y, z, t)$ , one needs to understand Schrödinger Equation.

$$i\hbar \frac{\partial \Psi}{\partial t} = -\frac{\hbar^2}{2m} \left[ \frac{\partial^2 \Psi}{\partial x^2} + \frac{\partial^2 \Psi}{\partial y^2} + \frac{\partial^2 \Psi}{\partial z^2} \right] + V \Psi$$

For simplicity, we would mainly concentrate on the 1D case.  
Note that it is NOT the same as wave equation ....

## Compare with Wave Equation



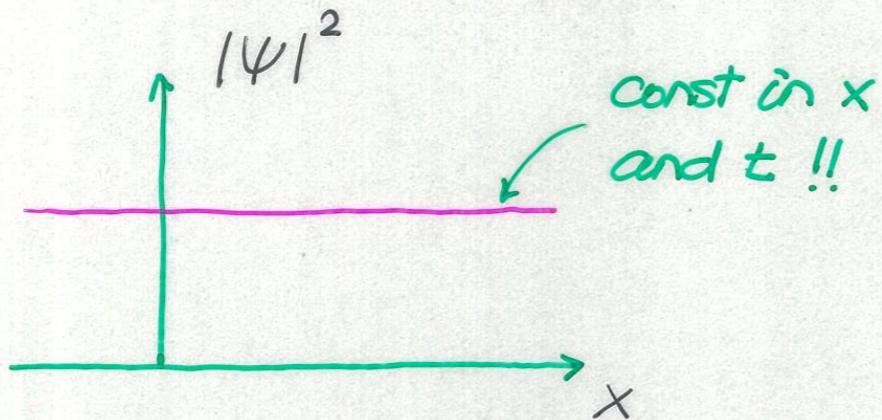
A conventional wave obeys the following equation.

$$\frac{\partial^2 \xi}{\partial t^2} = v^2 \frac{\partial^2 \xi}{\partial x^2}$$

v: wave velocity.

On the other hand, the free particle satisfies the Schrödinger equation

$$i\hbar \frac{\partial \psi}{\partial t} = -\frac{\hbar^2}{2m} \frac{\partial^2 \psi}{\partial x^2} \quad \Rightarrow \quad \psi(x,t) = \frac{1}{\sqrt{L}} e^{i(kx - \omega t)}$$

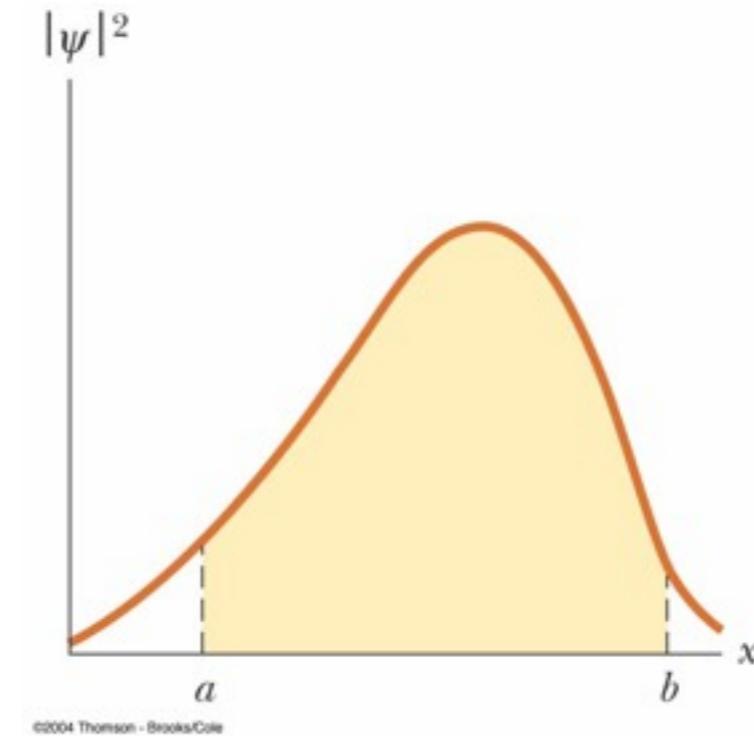


The wave seems static if only  $\rho(x,t)$  is measured.....

# Wave function

To describe a quantum particle, we need the new concept of **wave function**:

$$\psi(x, t)$$



The interpretation of the wave function is the following: the square of the wave function is the probability density to find the particle at the location  $x$  and at the time  $t$ ,

$$P(x, t) = |\psi(x, t)|^2.$$

For instance, the probability to find the particle at the interval between  $a$  and  $b$  is the spatial integral of  $|\psi(x, t)|^2$  in this regime.

# Schrodinger equation



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The dynamics of the wave function is described by the **Schrodinger equation**. Note that the time derivative is only **first-order**.

$$-\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} \psi(x, t) + V(x) \psi(x, t) = i\hbar \frac{\partial}{\partial t} \psi(x, t)$$

# Solving time dependence

The **time dependence** of the wave function can be solved rather easily. Make an educated guess of the following form:

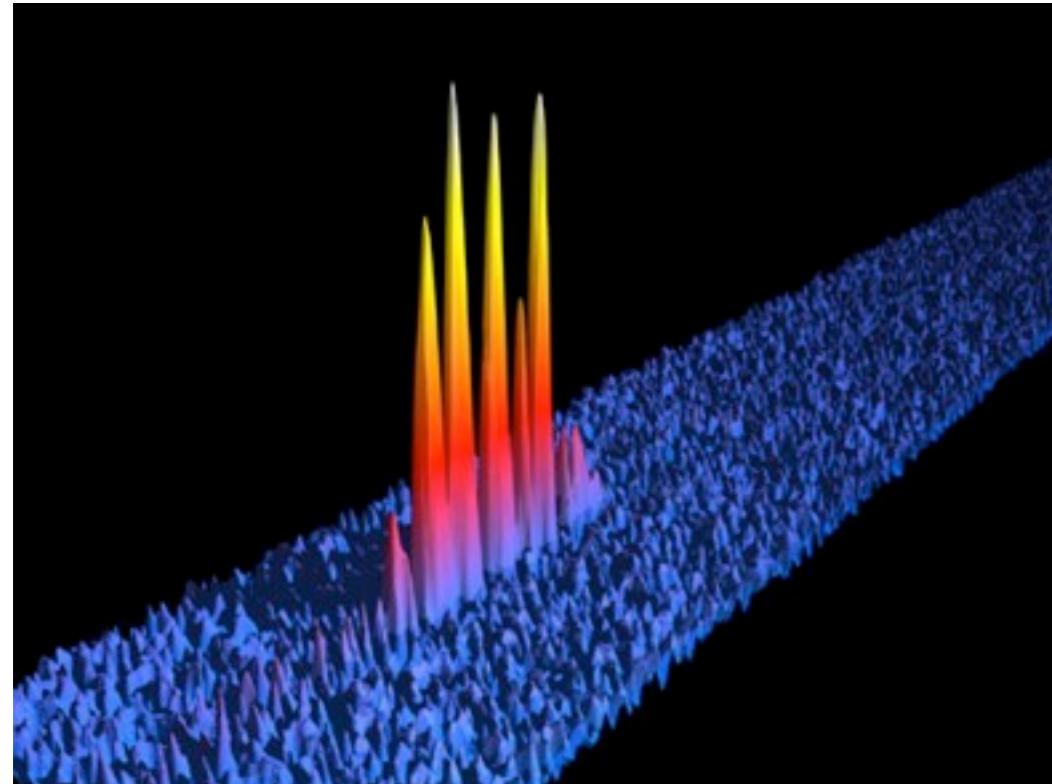
$$\psi(x, t) = \phi(x)e^{-iEt/\hbar}$$

The time dependence of the Schrodinger equation drops out. This is the so-called **time-independent Schrodinger equation**:

$$-\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} \phi(x) + V(x)\phi(x) = E\phi(x)$$

# Frequency of matter wave

$$\psi(x, t) = \phi(x)e^{-iEt/\hbar}$$



There is some physics in the **time-varying phase** we just solved. Note that when the phase winds by

$$Et/\hbar = 2\pi$$

the wave function comes back to itself. That is to say,

$$E = \hbar\omega$$

# Schrodinger equation

$$-\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} \phi(x) = E\phi(x)$$

We can solve the spatial part of the wave function:

$$\phi(x) = e^{ikx}$$

Combined with the time varying phase, we obtain the solution for a free particle:

$$\psi(x) = e^{i(kx - \omega t)}$$

# Wavelength of matter wave

$$-\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} \phi(x) = E\phi(x)$$

Substitute the solution  
into the Schrodinger eq.

$$\psi(x) = e^{i(kx - \omega t)}$$

It is easy to verify the other relation postulated by de Broglie:

$$E = \frac{p^2}{2m} = \frac{\hbar^2 k^2}{2m}$$

$$p = \hbar k = \frac{h}{\lambda}$$

## Time-Independent Schrödinger equation

Since the Schrödinger equation is first-order in time, its time dependence can be solved easily 

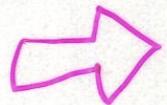
$$i\hbar \frac{\partial \Psi}{\partial t} = -\frac{\hbar^2}{2m} \frac{\partial^2 \Psi}{\partial x^2} + V \Psi$$

educated guess !!

$$\Psi(x,t) = \Phi(x) e^{-i\omega t}$$

~~$$i\hbar(-i\omega) \Phi e^{-i\omega t}$$~~

$$= \left[ -\frac{\hbar^2}{2m} \frac{\partial^2 \Phi}{\partial x^2} + V \Phi \right] e^{-i\omega t}, \text{ note that } E = \hbar\omega$$



$$-\frac{\hbar^2}{2m} \frac{d^2 \Phi}{dx^2} + V \Phi = E \Phi$$

 should always  
keep in mind the  
+ dependence !!

Or, sometimes in the form of

$$\frac{d^2 \Phi}{dx^2} + \frac{2m}{\hbar^2} (E - V) \Phi = 0$$

Q: Is  $E$  arbitrary?  
Or.... NOT....

## Revisit Free Particle.

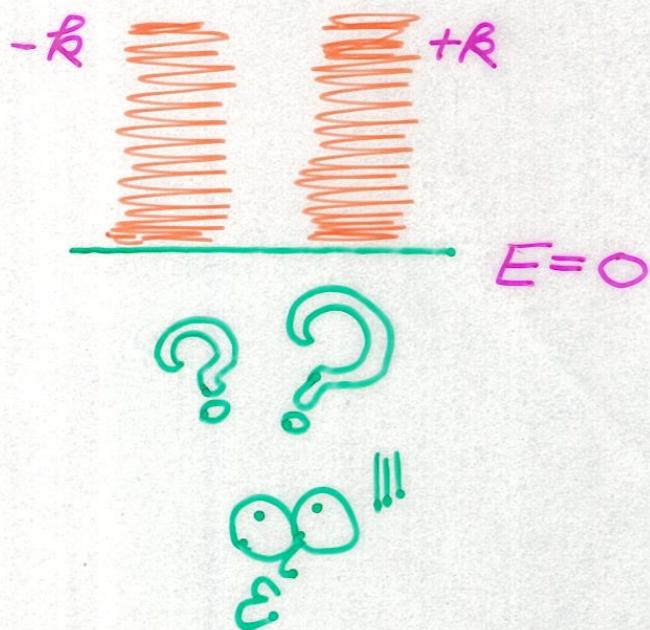
Since  $V=0$ , the equation is rather trivial.

$$\frac{d^2\psi}{dx^2} + \frac{2mE}{\hbar^2} \psi = 0 \quad \psi(x) = e^{ikx} \quad \text{with} \quad k^2 = \frac{2mE}{\hbar^2}$$

Or, in more familiar format  $\frac{\hbar^2 k^2}{2m} = E \quad \Rightarrow \quad k = \pm \sqrt{\frac{2mE}{\hbar^2}}$

For convenience,  $k = \sqrt{\frac{2mE}{\hbar^2}}$  and the two degenerate solutions are

$$\psi(x,t) = e^{-i\omega t} e^{\pm ikx}$$



As long as  $E > 0$ , there are pairs of solutions with the same energy.

Q: (1) Why the 2-fold degeneracy?  
 (2) What happens if  $E < 0$ ?

## Kramers Degeneracy

Suppose the wave function describes a stationary state with definite energy  $E$  :

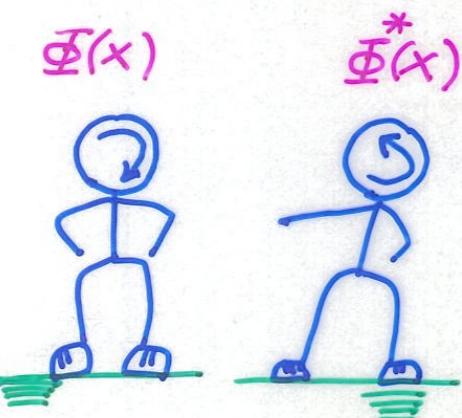
$$\Psi(x, t) = \Phi(x) e^{-i\omega t}$$

Its twin brother state under time reversal transformation is

$$\Psi^*(x, -t) = \Phi^*(x) e^{i\omega(-t)} = \Phi^*(x) e^{-i\omega t}$$

One notices that

they share the same energy  $E$  !!



degenerate in  
energy !!

Example: plane-wave solution

$$\Psi(x, t) = e^{i\mathbf{k}x} e^{-i\omega t} \quad R\text{-moving}$$

$$\Psi^*(x, -t) = e^{-i\mathbf{k}x} e^{-i\omega t} \quad L\text{-moving}$$

\* By switching the direction of time, the  $R \leftrightarrow L$  moving states also switch.

## $E < 0$ Solution

Consider the  $E < 0$  solution for a free particle.

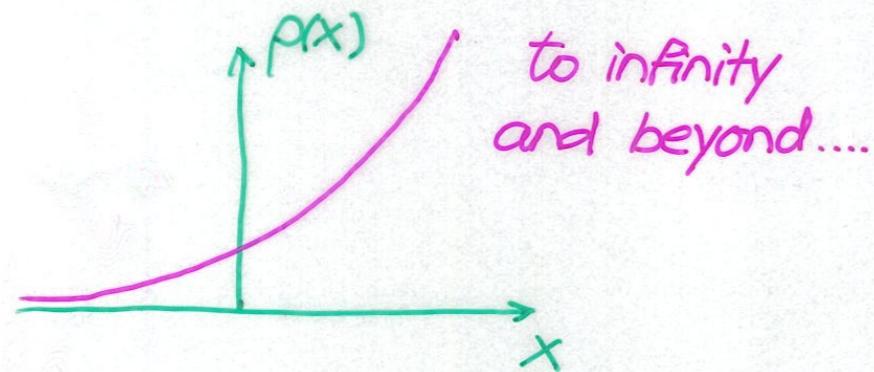
$$-\frac{\hbar^2}{2m} \frac{d^2\psi}{dx^2} = -|E| \psi \quad \Rightarrow \quad \frac{d^2\psi}{dx^2} = \alpha^2 \psi \quad \alpha^2 = \frac{2m|E|}{\hbar^2}$$

The general solution can be written down rather easily,

$$\psi(x) = A e^{\alpha x} + B e^{-\alpha x} \quad \text{Something wrong with this solution ??} \quad \text{E} < 0$$

Consider the special case where  $B=0$

$$\psi(x) = A e^{\alpha x} \rightarrow P(x) = |\psi(x)|^2 = A^2 e^{2\alpha x}$$



$$\int dx |\psi(x)|^2 \rightarrow \infty !!$$

The wave function diverges at  $x \rightarrow +\infty$ . Thus, the solution can not be used to generate a sensible probability density !!

linear superposition at work again....

$$\Psi(x,t) = \frac{1}{\sqrt{2}} \Psi_1(x,t) + \frac{1}{\sqrt{2}} \Psi_2(x,t) = \frac{1}{\sqrt{2}} \Phi_1(x) e^{-i\omega_1 t} + \frac{1}{\sqrt{2}} \Phi_2(x) e^{-i\omega_2 t}$$

Let's compute the probability density

$$P(x,t) = |\Psi(x,t)|^2 = \frac{1}{2} \left[ \Phi_1^* e^{i\omega_1 t} + \Phi_2^* e^{i\omega_2 t} \right] \frac{1}{2} \left[ \Phi_1 e^{-i\omega_1 t} + \Phi_2 e^{-i\omega_2 t} \right]$$

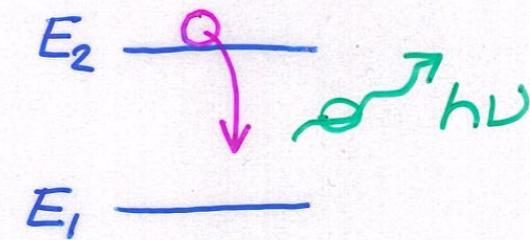
$$= \frac{1}{2} (\Phi_1^* \Phi_1 + \Phi_2^* \Phi_2) \quad \text{stationary part}$$

$$+ \frac{1}{2} \left[ \Phi_2^* \Phi_1 e^{i(\omega_2 - \omega_1)t} + \Phi_1^* \Phi_2 e^{i(\omega_1 - \omega_2)t} \right] \quad \text{oscillatory part.}$$

$$\frac{1}{2} |\Phi_1| |\Phi_2| e^{-i\delta}$$

$$\frac{1}{2} |\Phi_1| |\Phi_2| e^{i\delta}$$

$$\Rightarrow \boxed{|\Phi_1| |\Phi_2| \cos(\omega_1 t - \omega_2 t + \delta)}$$



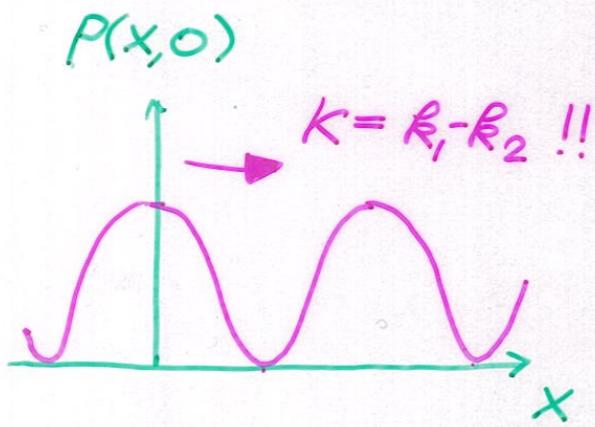
Choose  $\Psi_1(x,t) = \frac{1}{\sqrt{L}} e^{i(k_1 x - \omega_1 t)}$

$$k_1 \neq k_2, \omega_1 \neq \omega_2$$

$$\Psi_2(x,t) = \frac{1}{\sqrt{L}} e^{i(k_2 x - \omega_2 t)}$$

The probability density is  $\rho(x,t) = \left| \frac{1}{\sqrt{2}} \Psi_1 + \frac{1}{\sqrt{2}} \Psi_2 \right|^2$

$$\begin{aligned} \rho(x,t) &= \frac{1}{2} \left( |\Psi_1|^2 + |\Psi_2|^2 \right) + |\Psi_1| |\Psi_2| \cos(\Omega t + \delta) & \Omega = \omega_1 - \omega_2 \\ &= \frac{1}{2} + \frac{1}{2} \cos(\Omega t - kx) & \delta = (k_2 - k_1)x \end{aligned}$$



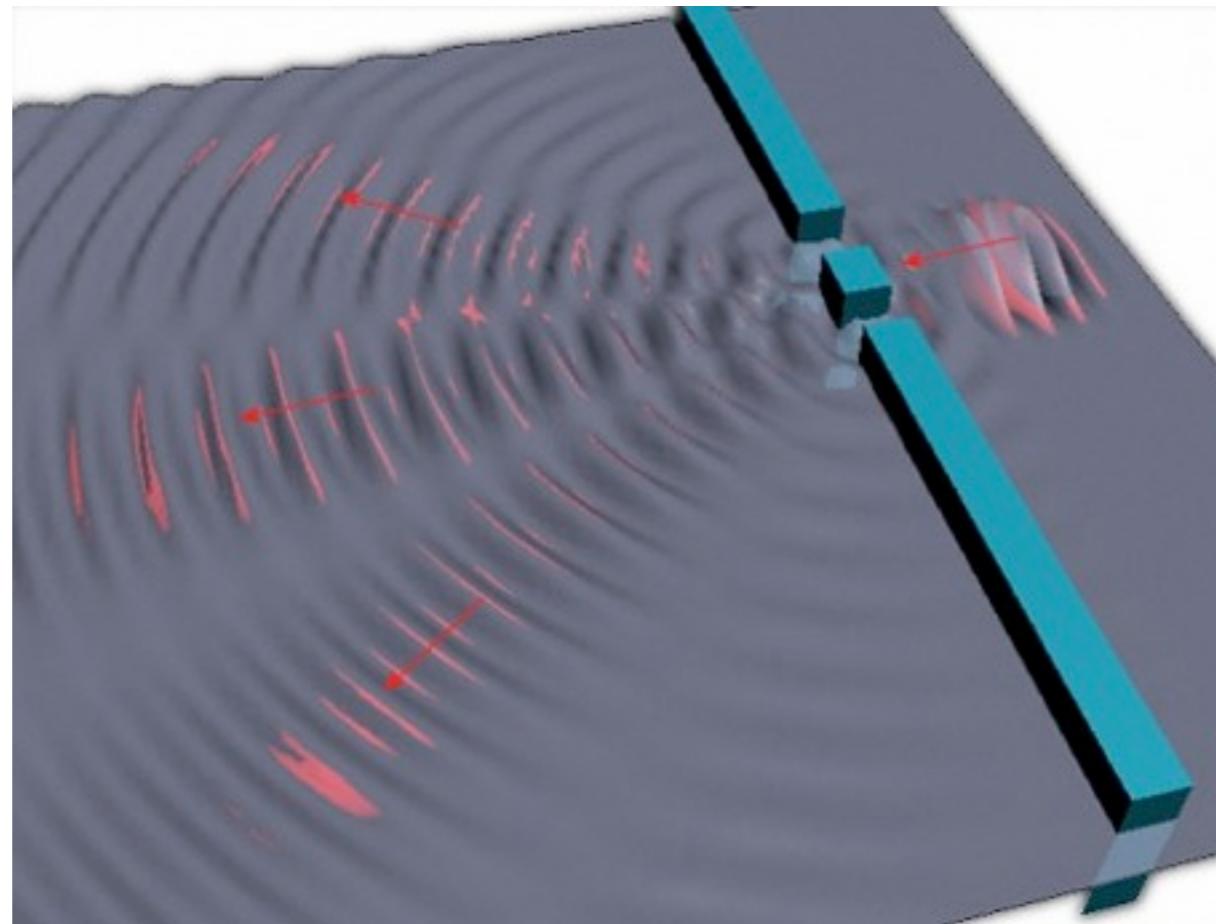
The oscillating frequency is  $\Omega = \omega_1 - \omega_2$  and the wave number is  $k = k_1 - k_2$

Q: What happens when  $\omega_1 = \omega_2$  but  $k_1 \neq k_2$  ?

# PARTICLE IN BOX

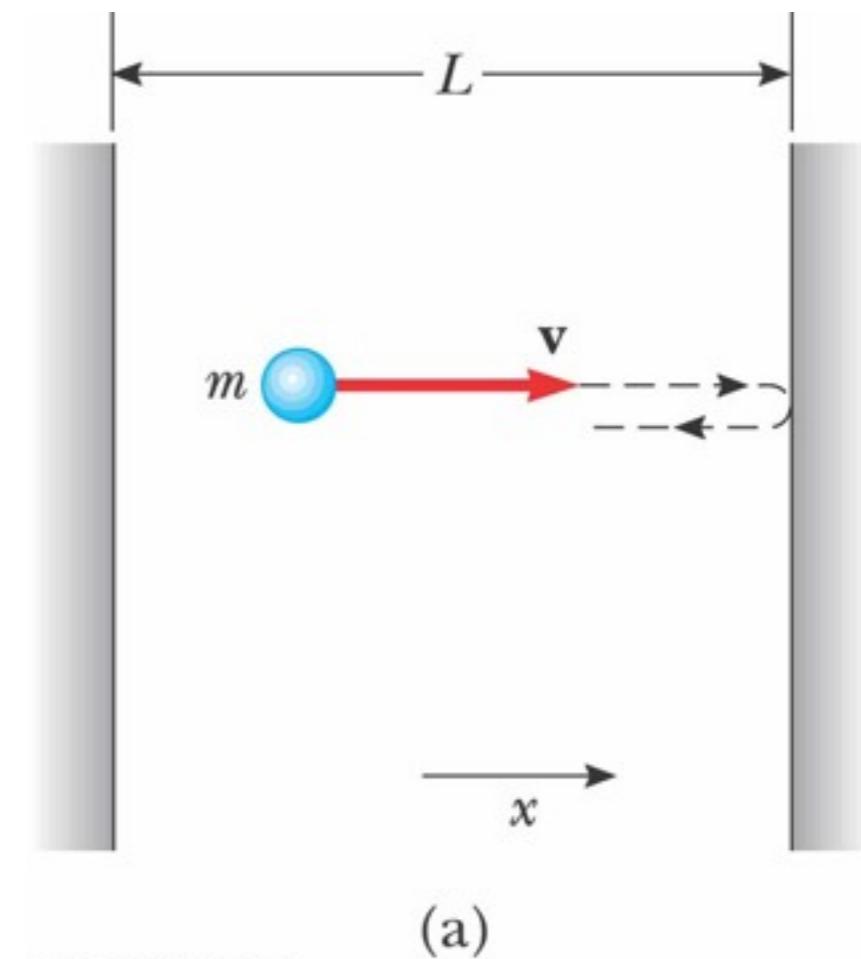
# Free quantum particle

How does a **free particle** propagate according to quantum mechanics?



# Particle in a box

For a classical particle, since the **speed** is constant, the **probability density** to detect the particle anywhere inside the box is also a constant.



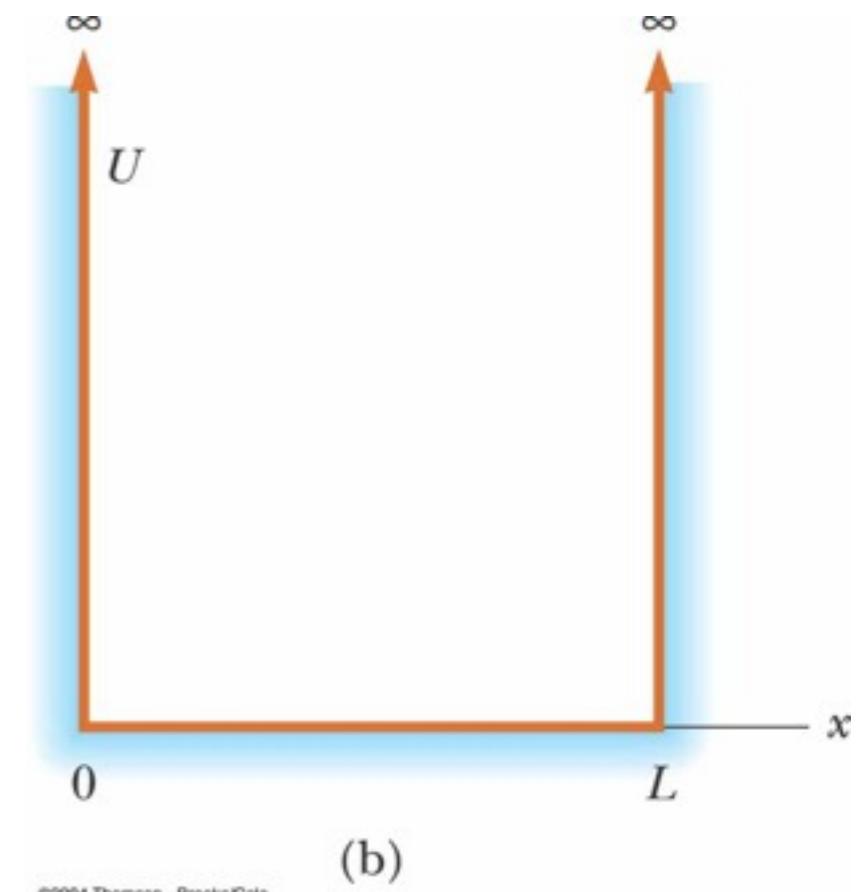
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# Boundary conditions

Since the potential energy is **infinite** outside the well, the wave function is also **zero**.

But the wave function should be **continuous**, thus we expect it also vanishes at the boundaries.

$$\phi(0) = 0 = \phi(L)$$



# Finding solutions...

Inside the potential well, the particle is free. Thus, the Schrodinger equation is identically the same.

$$-\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} \phi(x) = E\phi(x)$$

With a given energy, the general solution is a linear superposition of the right-going and left-going solutions,

$$\phi(x) = Ae^{ikx} + Be^{-ikx}$$

where  $A$  and  $B$  are constants determined by the boundary conditions.

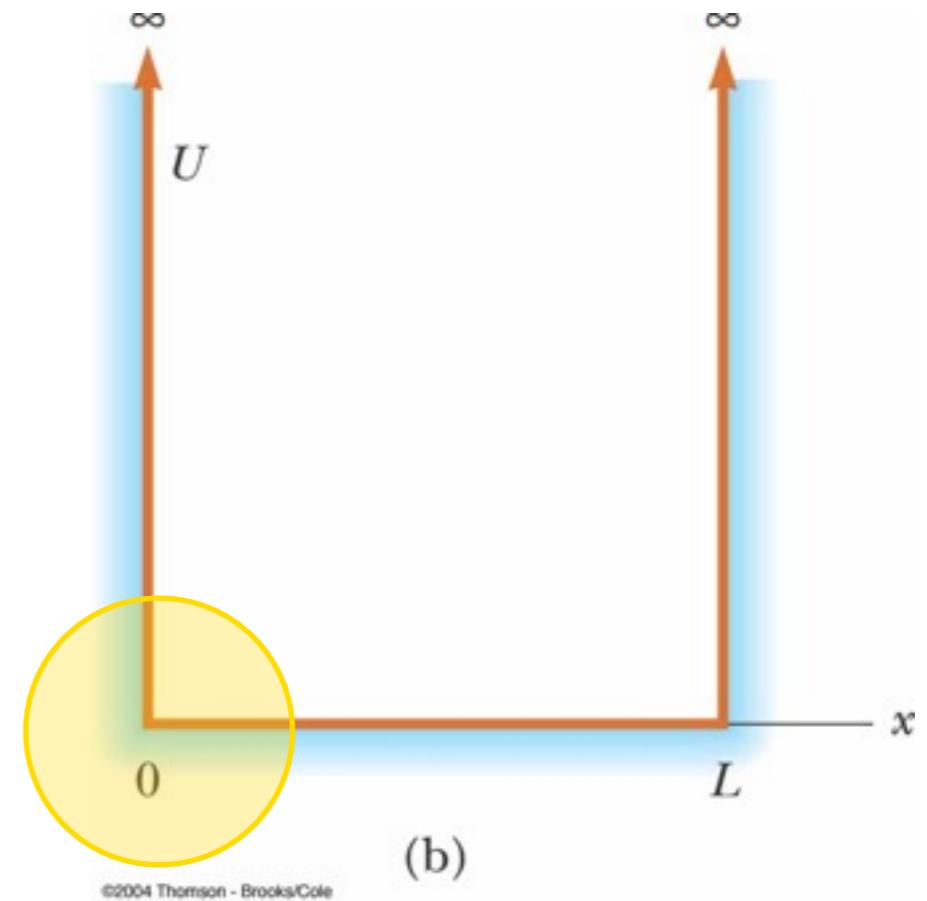
# B.C. at $x=0$

The boundary condition  
of the wave function at  
 $x=0$  leads to

$$A + B = 0 \rightarrow A = -B$$

The solution takes the  
form of sine,

$$\phi(x) = C \sin(kx)$$

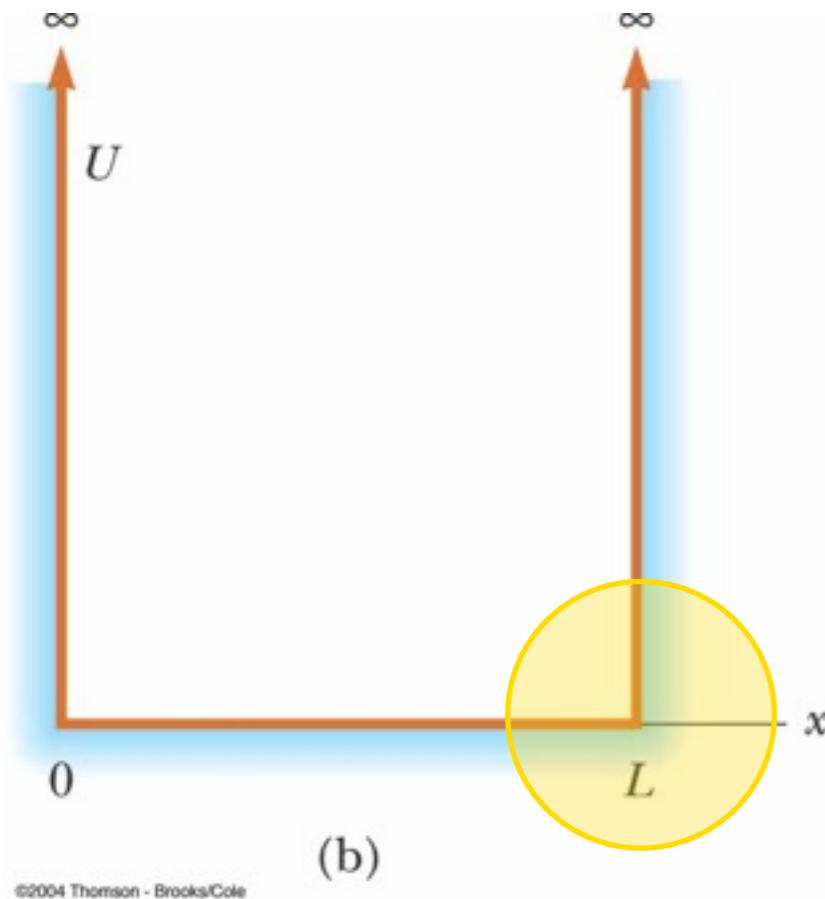


# B.C. at $x=L$

The boundary condition at  $x=L$  gives rise to the constraint on the momentum

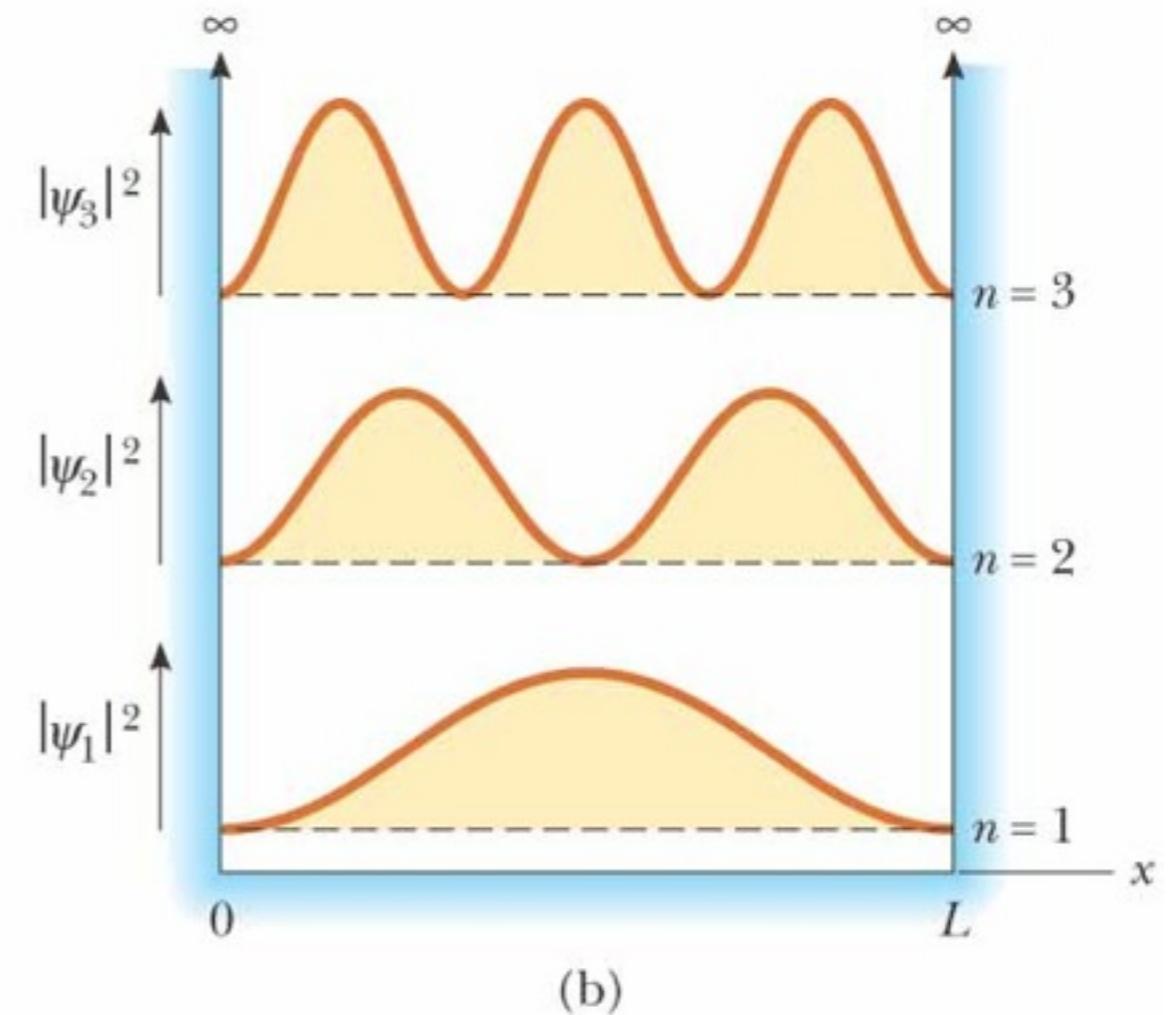
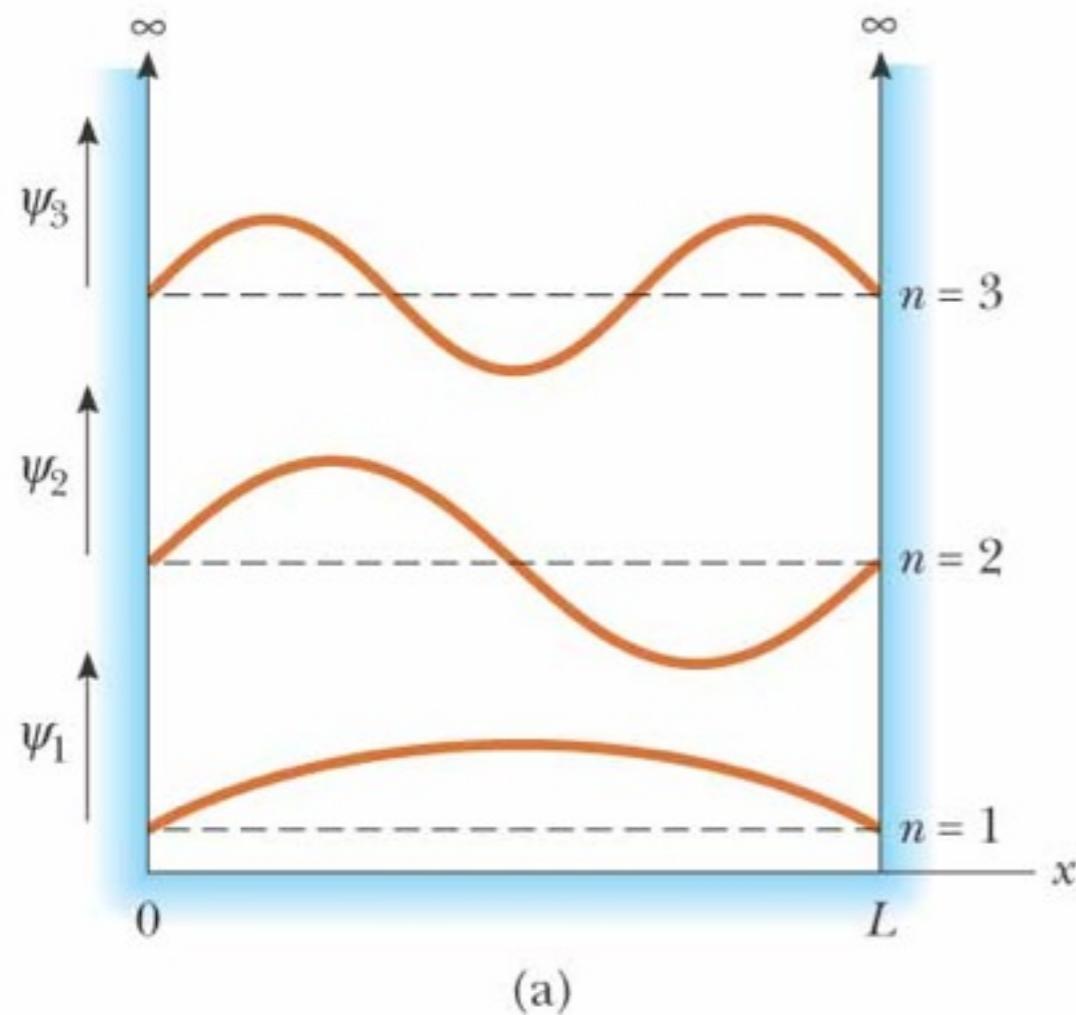
$$\sin kL = 0 \rightarrow kL = n\pi$$

The momentum is quantized!!



$$k = \frac{n\pi}{L} = \frac{\pi}{L}, \frac{2\pi}{L}, \frac{3\pi}{L}, \dots$$

# Nodal structure



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$|\psi|^2$

# Normalization

Since the square of the wave function represents the probability density, it must satisfy the normalization condition

$$\int_0^L dx |\phi(x)|^2 = 1.$$

Given the solution we found previously, the normalization condition leads to

$$C^2 \int_0^L dx \sin^2 \left( \frac{n\pi x}{L} \right) = 1 \quad \rightarrow \quad C = \sqrt{\frac{2}{L}}.$$

Finally, the properly normalized wave function for the particle inside the infinite potential well is

$$\phi(x) = \sqrt{\frac{2}{L}} \sin \left( \frac{n\pi x}{L} \right)$$

# Energy quantization

Because the momentum is quantized, the energy is also quantized,

$$E = \frac{\hbar^2 k^2}{2m} = \frac{n^2 \hbar^2 \pi^2}{2m L^2}$$

Compare with photons!

