

HH0034 Landau Theory of Phase Transitions

Landau constructed a systematic formulation to describe all sorts of phase transitions within mean-field approximation. The key is to introduce the notion of order parameter ξ , which can be magnetization in ferromagnetic transition, dielectric polarization in ferroelectric systems, fraction of superconducting electrons in a superconductor and so on.

① Landau free energy function:

Consider a phase transition for the order parameter ξ with Z_2 symmetry (i.e. $\xi \leftrightarrow -\xi$ symmetry). The Landau free energy function is

$$\underline{F_L(\xi, \tau) \equiv U(\xi, \tau) - \tau \sigma(\xi, \tau)}$$

The equilibrium value $\xi_0(\tau)$ minimizes F_L and the free energy is

$$F(\tau) = F_L(\xi_0, \tau) \leq F_L(\xi, \tau)$$

$F_L(\xi, \tau)$ can tell us $\xi_0(\tau)$ and $F(\tau)$!

Ok, this sounds great but how can we get $F_L(\xi, \tau)$? Near the phase transition, Landau assumes $F_L(\xi, \tau)$ can be expanded,

$$F_L(\xi, \tau) = F_0 + \frac{1}{2} a(\tau - \tau_0) \xi^2 + \frac{1}{4} b \xi^4 + \frac{1}{6} c \xi^6 + \dots$$

In general, f_0, a, b, c are smooth functions of temperature and can be treated as constant. The $(\tau - \tau_0)$ factor needs some physical insight.



Think...

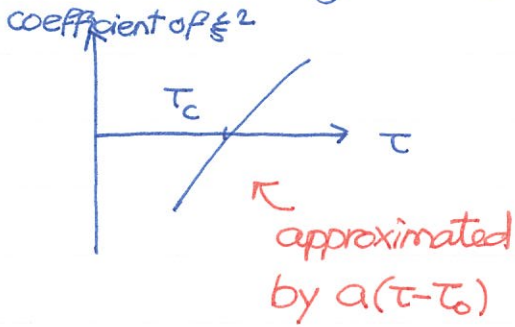
$\left\{ \begin{array}{l} \tau > \tau_c, \text{ we want } \xi_0 = 0 \end{array} \right.$

$\left\{ \begin{array}{l} \tau < \tau_c, \text{ we expect } \xi_0 \neq 0 \end{array} \right.$

coefficient of ξ^2 should be positive

coefficient of ξ^2 should be negative.

The previous argument means the coefficient of ξ^2 changes sign when crossing $\tau = \tau_c$. Although the motivation is justified and the critical temperature of the second-order phase transition is indeed $\tau_c = \tau_0$. But, for the first-order phase transition, the transition temperature $\tau_t \neq \tau_0$ in general.

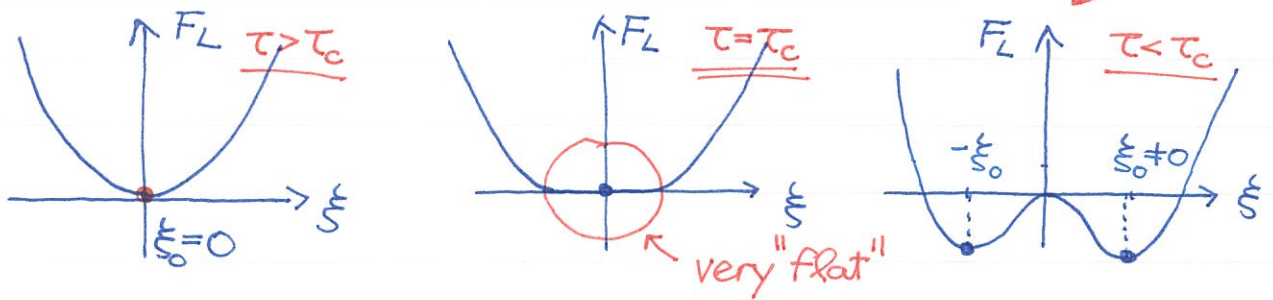


① 2nd-order phase transition.

For $b > 0$, it is not necessary to include higher-order terms,

$$F_L(\xi, \tau) = F_0 + \frac{1}{2} a(\tau - \tau_0) \xi^2 + \frac{1}{4} b \xi^4$$

introduce $\tau_c = \tau_0$



The profiles of $F_L(\xi, \tau)$ are shown above. For $\tau > \tau_c$, there is only one minimum $\xi_0 = 0$. For $\tau < \tau_c$, there are two minima $\pm \xi_0 \neq 0$ (related by Z_2 symmetry). At the critical point, the ξ^2 -term vanishes and the profile is very "flat".

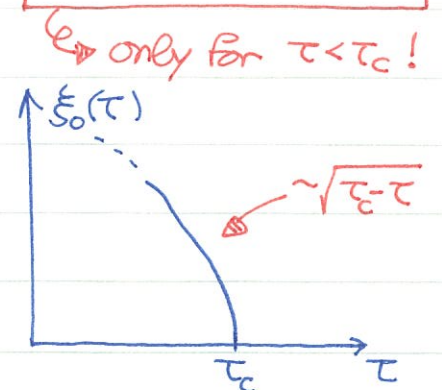
$$\frac{\partial F_L}{\partial \xi} = 0 \rightarrow a(\tau - \tau_0) \xi + b \xi^3 = 0$$

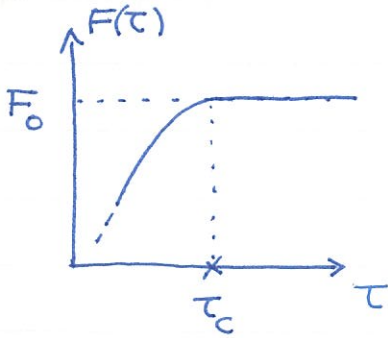
$$\xi_0 = \pm \sqrt{\frac{a(\tau_c - \tau)}{b}}$$

Substitute ξ_0 into F_L to get $F(\tau)$:

$$F(\tau) = \begin{cases} F_0, & \tau > \tau_c \\ F_0 - \frac{a^2}{4b} (\tau_c - \tau)^2, & \tau < \tau_c \end{cases}$$

emergent order $\xi_0 \neq 0$ stabilize the system!





It's obvious that $F(\tau)$ is continuous. What about its derivatives?

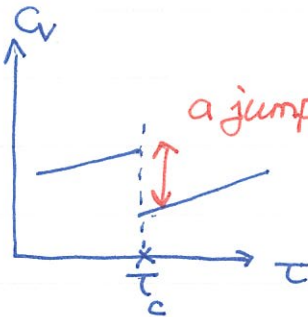
$$\frac{\partial F}{\partial \tau} = -\sigma = \begin{cases} 0 & \tau > \tau_c \\ \frac{a^2}{2b} (\tau_c - \tau) & \tau < \tau_c \end{cases}$$

The 1st derivative is still continuous. It implies the latent heat is zero:

$$L = \tau_c \Delta \sigma = \tau_c [\sigma(\tau_c^+) - \sigma(\tau_c^-)] = 0$$

Let's compute the second derivative \ddot{F}

$$\frac{\partial^2 F}{\partial \tau^2} = -\frac{\partial \sigma}{\partial \tau} = -\frac{1}{\tau} C_V = \begin{cases} 0, & \tau > \tau_c \\ -\frac{a^2}{2b}, & \tau < \tau_c \end{cases}$$



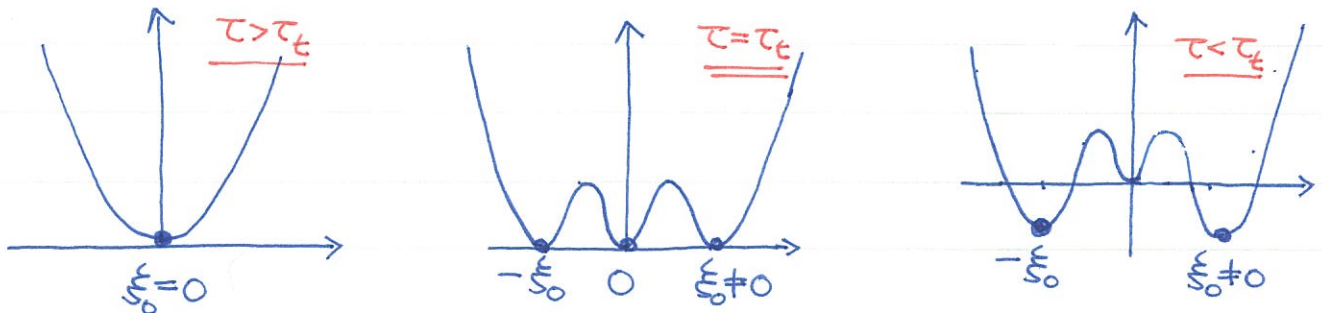
Thus, the specific heat has a jump crossing $\tau = \tau_c$. Because $\frac{\partial^2 F}{\partial \tau^2}$ is singular, it is called the 2nd order phase transition.

① 1st order phase transition:

When $b < 0$, one must include ξ^6 term to ensure stability.

$$F_L(\xi, \tau) = F_0 + \frac{1}{2} a (\tau - \tau_0) \xi^2 - \frac{1}{4} |b| \xi^4 + \frac{1}{6} c \xi^6$$

The evolution of $F_L(\xi, \tau)$ profiles is different:



One can follow the same logic to compute ξ_0 :

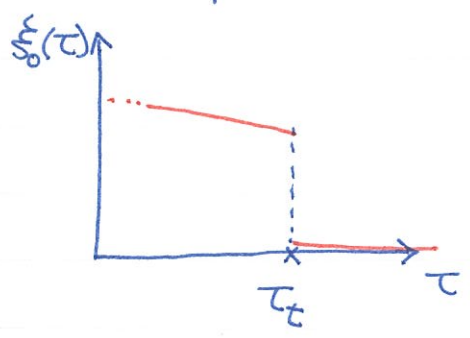
$$\frac{\partial F_L}{\partial \xi} = 0 \rightarrow a(\tau - \tau_0) \xi - |b| \xi^3 + c \xi^5 = 0$$

for $\tau \leq \tau_c$ case.

$$\xi_0^2 = \frac{1}{2c} [|b| + \sqrt{b^2 - 4ac(\tau - \tau_0)}]$$

The other root corresponds to the local max.

If one plots the order parameter ξ , it is clear to see a jump.



The transition temperature τ_c can be computed,

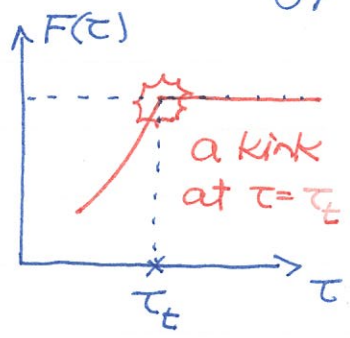
$$F_L(\xi_0=0, \tau_c) = F_L(\xi_0 \neq 0, \tau_c)$$

transition temp

After some algebra,

$$\tau_c = \tau_0 + \frac{36^2}{16ac}$$

The free energy can be computed as well and is plotted below.



$$\sigma(\tau_c^+) - \sigma(\tau_c^-) = \Delta\sigma = - \left[\frac{\partial F}{\partial \tau} \Big|_{\tau_c^+} - \frac{\partial F}{\partial \tau} \Big|_{\tau_c^-} \right] > 0$$

Thus, the latent heat is not zero.

$$L = \tau_c \Delta\sigma > 0$$

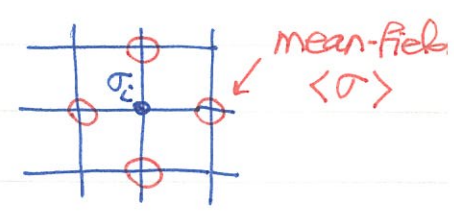
Since $\frac{\partial F}{\partial \tau}$ is already singular, it's called the 1st-order phase transition

⊙ Revisit Ising model.

It is helpful to revisit the Ising model discussed before.

The energy of a spin at site i is

$$E_i = \sum_j \langle \sigma_j \rangle \sigma_i \cdot (-\epsilon) = -\epsilon z \langle \sigma \rangle \sigma_i$$



The average energy of the whole system is

$$U = \langle E \rangle = \frac{1}{2} \sum_i \langle E_i \rangle = -\frac{N}{2} \epsilon z m^2$$

$m = \langle \sigma \rangle$ to avoid confusion with entropy σ .

For given spin polarization $m = \langle \sigma \rangle$, the probability distribution is quite simple:

$$P_{\uparrow} = \frac{1+m}{2} \quad P_{\downarrow} = \frac{1-m}{2}$$

The corresponding entropy for one spin is

$$\sigma_1 = - \sum_s P_s \log P_s = - \left(\frac{1+m}{2} \right) \log \left(\frac{1+m}{2} \right) - \left(\frac{1-m}{2} \right) \log \left(\frac{1-m}{2} \right)$$

Treating m as order parameter, the Landau free energy function is

$$F(m, \tau) = U(m, \tau) - \tau \sigma(m, \tau)$$

$\tau_c = \epsilon z$ critical temperature

$$= -\frac{N}{2} \tau_c m^2 + \underbrace{N\tau \left(\frac{1+m}{2}\right) \log\left(\frac{1+m}{2}\right) + N\tau \left(\frac{1-m}{2}\right) \log\left(\frac{1-m}{2}\right)}_{\text{just add up all spins} \rightarrow N \text{ times } \sigma_i}$$

Expand $F(m, \tau)$ near $m=0$, one gets the following series,

$$F(m, \tau) = \frac{N}{2} (\tau - \tau_c) m^2 + \frac{N}{12} \tau m^4 + \mathcal{O}(m^6)$$

Landau is smart to get the form right with doing actual calculations ☺



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清大東院.